



## Region-oriented simultaneously joint two-pollutant control strategies are required to substantially reduce deaths attributed to both PM<sub>2.5</sub> and ozone pollution in China

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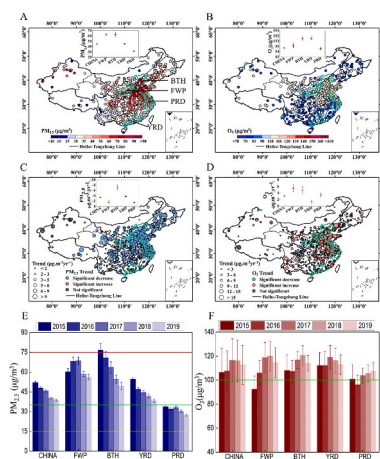
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### HIGHLIGHTS

- PM<sub>2.5</sub> targeted policy led a reduction in PM<sub>2.5</sub> and PM<sub>2.5</sub>-attributable deaths.
- Meanwhile O<sub>3</sub> and O<sub>3</sub>-attributable deaths significantly increased.
- Our results indicate that trade-offs may occur in the controls of ozone or PM<sub>2.5</sub>.
- A deep understanding of the chemical reaction is needed between the two pollutants.
- A region-oriented smarter air pollution strategy is required to reduce pollution.

### GRAPHICAL ABSTRACT



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## ABSTRACT

China is confronting serious air pollution of fine particulate matter (PM<sub>2.5</sub>) and ozone, which routinely exceed air quality standards. PM<sub>2.5</sub> concentrations decreased by 30–40% while ozone increased by 15–20%, across China during 2015–2019. This could be attributed to targeted clean air policies that have focused more on controlling particulate matter since 2013. The deaths attributable to PM<sub>2.5</sub> and ozone exposure over China changed from 1.45 to 0.13 million in 2015 to 1.04 and 0.21 million in 2019, respectively. The changes in mortality, PM<sub>2.5</sub> and ozone present spatially heterogeneous patterns across cities and regions. The ozone production regimes (NO<sub>x</sub>-limited, volatile organic compounds (VOCs)-limited or transition between them), the responses of P(O<sub>3</sub>) to the change in NO<sub>x</sub> and VOCs, the reactions of HO<sub>2</sub>, OH, and RO<sub>2</sub>, and meteorological conditions, are regionally dependent and spatially heterogeneous. Our results have important implications for developing a smarter, region-oriented, two-pollutant coordinated control policy for VOCs and NO<sub>x</sub> in China.

## 1. Introduction

Outdoor air pollution has adverse health impacts, as reported by many studies. More than 90% of the world's population lives in places where air pollution concentrations exceed World Health Organization (WHO) guidelines (Zou et al., 2020). Epidemiological research has documented that exposure to hazardous air pollution leads to an increase in mortality and morbidity and contributes to the global disease burden of respiratory disease, cardiovascular disease and lung cancer (Beelen et al., 2014; Bell et al., 2004; Brook et al., 2010; Hoek et al., 2013; Jerrett et al., 2009). According to the Global Burden of Disease project report, outdoor air pollution is one of the top five risk factors worldwide, causing approximately 4 million deaths in 2017 (Stanaway et al., 2018), accounting for one-ninth of the total global mortality (Yue et al., 2020a). About 1 million premature death each year are caused by air pollution in China (Shen et al., 2017).

There are numerous air pollutants cause hazardous health outcomes, including particles such as fine particulate matter with an aerodynamic diameter less than 2.5 μm (PM<sub>2.5</sub>) and ozone (O<sub>3</sub>) (Burns et al., 2020). These two pollutants were selected as indicators in the Global Burden of Disease studies due to their significant health impacts supported by scientific research and a clear exposure-response relationship, which facilitates their quantification, despite remaining uncertainties in assessing their health impacts.

Ambient PM<sub>2.5</sub> pollution, which contributes to various respiratory and cardiovascular diseases (Yue et al., 2020a), is the greatest environmental risk factor for human health globally (Burns et al., 2020; Y. Zhou et al., 2020). Surface level ozone also induces respiratory problems and increases morbidity and mortality via impairment of lung functions (Hao et al., 2015; Zhong et al., 2019).

Ambient air pollution has become one of the most severe environmental and health issues in China, with PM<sub>2.5</sub> and surface ozone routinely exceeding air quality standards (Burns et al., 2020; Fan et al., 2020a,b; K. Li et al., 2019; L. Li et al., 2018; R. Li et al., 2015; Lu et al., 2018; Xiao et al., 2020; K. Zhang et al., 2020; Y. Zhou et al., 2020). To mitigate this serious issue, the State Council of China promulgated the toughest-ever Air Pollution Prevention and Control Action Plan (hereafter the Action Plan) in September 2013 (23). As the first national strategy on air pollution control and a milestone, the Action Plan focused principally on controls of PM<sub>2.5</sub> and in three key regions, i.e., the Beijing-Tianjin-Hebei (BTH) area, the Yangtze River Delta (YRD), and the Pearl River Delta (PRD) (Feng et al., 2019) (Fig.S1). At the same time, the Chinese government has also established other measures and regulations to mitigate the serious levels of air pollution, including the 10 measures for the prevention and control of air pollution from China's State Council in 2013 and the Law of the People's Republic of China on the Prevention Control of Atmosphere Pollution amended in 2015 (Zou et al., 2020). At the National Health Conference in 2016, Chinese President Xi emphasized lifelong health services and China's State Council released the 'Healthy China (2030) Outline' in 2016 (Q. Zhang et al., 2019).

Since 2013, China has implemented a series of policies to control various pollutants, including primary particles, SO<sub>2</sub>, NO<sub>x</sub>, VOCs, NH<sub>3</sub>, etc. (K. Chen et al., 2021; Xiao et al., 2020; Q. Zhang et al., 2019). As a result, PM<sub>2.5</sub> and related health benefits from 2013 to 2017 in China were improved substantially (Silver et al., 2018). However, surface ozone concentrations continued to increase (Lu et al., 2018) and associated mortality also increased (Burns et al., 2020; Kuerban et al., 2020; Malley et al., 2017; Zhong et al., 2019).

Air PM<sub>2.5</sub> can result from either direct emission or from atmospheric reactions. Tropospheric ozone is produced by photochemical oxidation of volatile organic compounds (VOCs) and CO in the presence of nitrogen oxides (NO<sub>x</sub> ≡ NO + NO<sub>2</sub>) catalyzed by hydrogen oxide radicals (HO<sub>x</sub> ≡ OH + HO<sub>2</sub>) and organic peroxy radicals (RO<sub>2</sub>) (30–31). Many have researchers reported that the secondary compounds of PM<sub>2.5</sub> can constitute up to 70% of the total concentration in metropolitan cities in China, especially during extreme pollution events (An et al., 2019). The relationships between ozone and its shared precursors with PM<sub>2.5</sub> (e.g., NO<sub>x</sub> and VOCs) are highly nonlinear and complex. In China, during 2013–2017, the bulk PM<sub>2.5</sub> concentrations and NO<sub>x</sub> emissions in China decreased by 30–40% and 20%, respectively, while there were counterproductive effects on ozone (K. Li et al., 2019). Decreases in bulk PM<sub>2.5</sub> could further affect O<sub>3</sub> formation regimes between NO<sub>x</sub>-limited and VOC-limited, while also changing photolysis rates and aerosol chemistry (K. Li et al., 2019; Lu et al., 2019). Therefore, it is impossible to straightforwardly mitigate ozone pollution by simply reducing the emissions of primary pollutants because of the complexity of O<sub>3</sub>-PM<sub>2.5</sub> relationship and O<sub>3</sub>-NO<sub>x</sub>-VOC regime (Tan et al., 2018) and its regionally-dependent sensitivities. In order to get both PM<sub>2.5</sub> and ozone levels under control, sophisticated and location related regulations of emission reduction may be needed.

Although many studies have investigated the impact, formation, and sources of PM<sub>2.5</sub> and O<sub>3</sub> pollution in the past few years (An et al., 2019; Fan et al., 2021; Hao et al., 2015; Lu et al., 2019; P. Wang et al., 2020; Q. Zhang et al., 2019), there has been a lack of comparative and comprehensive research on spatiotemporal patterns of both O<sub>3</sub> and PM<sub>2.5</sub> and their health impacts in China. Given that China is currently plagued by complex O<sub>3</sub> pollution problems, understanding the O<sub>3</sub>-PM<sub>2.5</sub> relationship is of great significance for drafting appropriate regional strategies for efficient control both O<sub>3</sub> and PM<sub>2.5</sub> pollutants and their health issues. The aim of this study is to improve comprehensive understanding of the regional trends of paired O<sub>3</sub>-PM<sub>2.5</sub> air pollution and their associated human health impacts during 2015–2019 using a large dataset from 1497 surface monitoring sites across China (Fig. S1).

## 2. Materials and Methods

## 2.1. Study setting

Six regions are highlighted in this study and are illustrated in Fig. S1 and Table S3. The first phase of the implementation of the Action Plan focused particularly on the three key regions (i.e., BTH, YRD and PRD).

To address severe air pollution issues and protect public health, concentration reductions of 25% (BTH), 20% (YRD), and 15% (PRD) in 2017 compared to the level in 2013 were mandated in these three key regions (Q. Zhang et al., 2019). There is also an urgent need to make a regional joint prevention and control strategy for the Fen-Wei Plain (FWP) and similar areas, which suffer from serious compound pollution (Fan et al., 2020a,b). The Heihe-Tengchong Line (Fig. S1) serves as the delimitation line of population aggregation in China (M. Chen et al., 2016). The area to the southeast of this line is more densely populated (EMPC: Eastern More-populated Part of the Country): 94% of the population lives on 43% of the land area, with population density of 325.84 people/km<sup>2</sup>. The area to the northwest of the Heihe-Ten chong Line is sparsely populated (WLPC: Western Less-populated Part of the Country), with a density of 14.68 people/km<sup>2</sup>. This pattern is not expected to change (M. Chen et al., 2016) in the coming years.

In this study, we analyzed PM<sub>2.5</sub> and O<sub>3</sub> associated mortality data for all 367 cities of 31 provinces in mainland China, and highlighted the above mentioned 6 cluster regions (BTH, YRD, PRD, FWP, EMPC and WLPC, fig. S1 and table S3), representing various socio-economic, climatic, air pollution exposure and prevention strategies.

## 2.2. p.m.<sub>2.5</sub> and O<sub>3</sub> concentration data

The hourly concentrations of 6 air pollutants including PM<sub>2.5</sub> and O<sub>3</sub>, were measured at 1497 sites during January 1, 2015 to December 31, 2019 in 367 cities and counties, acquired from the national air quality monitoring network operated by China National Environmental Monitoring Center (CNEMC) (<https://quotsoft.net/air/>). The location of all 1497 monitoring sites is shown in fig. S1. For detailed description of the monitoring instruments, sampling methods and data quality control, see the Supplementary Materials.

## 2.3. Calculations of daily, monthly, seasonal, and annual mean concentrations of O<sub>3</sub> and PM<sub>2.5</sub>

### 2.3.1. Data screening and gap filling

Consecutive repeats and zeroes were removed from the hourly time series data. Gaps less than 2 consecutive observations were filled using linear interpolation. Time series with less than 10% of data available were removed. Data were flagged if the daily mean had a low coefficient of variation in a certain period, the site was removed if the number of flagged days is more than 60. The QAQC (data quality assurance and quality control) and data screening procedures are described in detail in ref. (Kuerban et al., 2020; Silver et al., 2018). The number of available sites after QAQC and data screening is 1405 out of 1497 across China.

### 2.3.2. Maximum daily 8-h moving average O<sub>3</sub> (MDA8 O<sub>3</sub>)

The World Health Organization (WHO) set a guideline of 100 µg m<sup>-3</sup> for a maximum daily 8-h moving average (MDA8) exposure to surface O<sub>3</sub> (Organization, 2006). The MDA8 O<sub>3</sub> concentrations were calculated when 5-h or longer non-zero moving averages were available during a given 8-h period, otherwise, the 8-h average was marked as 'missing' value. The 'missing' values was not used in the subsequent analysis. Finally, the maximum daily value of the 8-h moving averages was treated as the valid MDA8 O<sub>3</sub> value to represent the O<sub>3</sub> level of that day.

The maximum value of the averaged ozone value of 8 consecutive hours should be taken. For example, N1 = (c1, c2, c3, ..., c8), N2 = (c2, c3, ..., c9), N3 = (c3, c2, c3, ..., c10), and so on, N17 = (c17, c2, c3, ..., c24). The maximum value of ozone in 8 h per day, the MDA8 O<sub>3</sub> = max(N1, N2, N3, ..., N17).

## 2.4. Daily mean concentrations of the five air pollutants (PM<sub>10</sub>, PM<sub>2.5</sub>, SO<sub>2</sub>, NO<sub>2</sub>, and CO)

The daily mean concentration at each monitoring site was computed from the hourly time series data, and was calculated as:

$$C_d^i = \frac{1}{23} \sum_{t=0}^{23} h_t^i \quad (1)$$

where  $C_d^i$  and  $h_t^i$  are the daily mean and hourly concentration of a single air pollutant, respectively,  $t$  is the hour of day ranging from 0 to 23,  $i$  is the investigated air pollutant.

## 2.5. Monthly, seasonal, and annual mean concentrations of six air pollutants

Monthly concentration of an air pollutant at each site was calculated as:

$$C_m^i = \frac{1}{p} \sum_{j=1}^p C_{dj}^i \quad (2)$$

where  $C_m^i$  and  $C_{dj}^i$  are monthly and daily mean concentration of an individual air pollutant, respectively,  $j$  is the day of the month,  $p$  is the total number of days for a given calendar month  $j$ , and  $i$  is the investigated air pollutant.

The months for different seasons were defined as: spring (March–May), summer (June–August), fall (September–November) and winter (December–February). The seasonal or annual concentrations of the investigated air pollutant were calculated from monthly values as:

$$C_{s,a}^i = \frac{1}{n} \sum_{k=1}^n C_{m,k}^i \quad (3)$$

where  $C_{s,a}^i$  is the seasonal (subscript  $s$ ) or annual (subscript  $a$ ) mean concentrations of an individual air pollutant,  $C_{m,k}^i$  is the monthly mean concentration of an individual air pollutant for month  $k$ ,  $n = 3$  for seasonal and  $n = 12$  for annual calculation, and  $i$  is the investigated air pollutant.

## 2.6. The anthropogenic emission data

Anthropogenic emissions were estimated using the bottom-up inventory model of Multi-resolution Emission Inventory for China (MEIC), developed by Tsinghua University (available at: <http://www.meicmodel.org/>) (Zheng et al., 2018). MEIC is a widely used bottom-up emission inventory framework that follows a technology-based methodology to calculate emissions from more than 700 anthropogenic source types in China. The annual MEIC data at a 0.25° resolution during 2012–2017 were used to provide the baseline emission and to conduct a measure-by-measure evaluation of emission abatements (K. Li et al., 2019).

## 2.7. Population and mortality data

We estimated the deaths attributable to PM<sub>2.5</sub> and O<sub>3</sub> exposures at a city level, covering 31 provinces and 367 cities. The annual average population data during 2015–2019 were acquired from the China Statistical Yearbook (M. Chen et al., 2016) (<http://www.stats.gov.cn/english/>). The city-level age-specific census data were acquired from the Sixth National Population Census carried out in 2010. The city-level proportions of different age groups during the study period were estimated on the basis of the population growth rate and aging trends of China reported by the United Nation (M. Chen et al., 2016; Nations, 2018). This estimation uncertainty was proved to be relatively small because population growth was slow (J. Huang et al., 2018).

The mortality data were acquired from the Chinese Centers for Disease Control and Prevention (China CDC), which has established 161 death surveillance points since 2004 (J. Huang et al., 2018). The estimates of the deaths attributable to air pollution at a city-level should

ideally use city-level baseline mortality data. However, these data are not available in China so we instead used provincial-level data. The proportions of cause-specific mortality in different provinces and age groups were obtained from the China Death Surveillance Dataset in 2013 (J. Huang et al., 2018). The baseline respiratory and cardiovascular disease-related mortality rate data were acquired from the Global Burden of Disease (GBD) study in 2016 (<http://vizhub.healthdata.org/gbd-compare>) (Maji et al., 2019). The age-specific and cause-specific mortality rate for each disease were estimated based on these death surveillance points in 2016 obtained from reports (Q. Wang et al., 2018; M. Zhou et al., 2016) and the results of GBD studies in 2016 (Nichols et al., 2019; Zunt et al., 2018).

## 2.8. Estimating all-cause deaths attributable to PM<sub>2.5</sub> and O<sub>3</sub> exposure

Six kinds of diseases related to PM<sub>2.5</sub> pollution including lung cancer, chronic obstructive pulmonary disease, lower respiratory infection, ischemic heart disease, stroke, and diabetes mellitus type 2, and two kinds diseases of respiratory and cardiovascular disease associated with O<sub>3</sub> were considered in this study.

The cause-specific integrated exposure–response functions have been developed for the GBD studies (Apte et al., 2015; R. T. Burnett et al., 2014; Cohen et al., 2017; Collaborators, 2016; Feigin and Collaborators, 2018; Nichols et al., 2019). Recently, Luben et al. (2018) studied multipollutant effects on cardiovascular disease, while Wang et al. (C. Wang et al., 2023) explored the effect of co-exposure to multiple air pollutants and meteorological conditions on mental health outcomes. These studies provide evidence of synergistic effects from co-exposure to multiple air pollutants, but further research is needed to develop quantification methods for these effects. Wu et al. (2021) employed novel exposure–response functions with multiple exposure windows to estimate the mortality burden attributable to long-term ambient PM<sub>2.5</sub> exposure in China, revealing significantly higher estimates of premature deaths compared to those obtained using cause-specific integrated exposure–response functions (Wu et al., 2021).

In this study, the all-cause premature deaths attributable to PM<sub>2.5</sub> and O<sub>3</sub> exposure were estimated using the comparative risk assessment framework (Murray et al., 2003) and a set of epidemiological concentration–response (C–R) functions (Anenberg et al., 2010; Apte et al., 2015; J. Huang et al., 2018; Kuerban et al., 2020; J. Lelieveld et al., 2013; K. Li et al., 2019; Maji et al., 2019; Song et al., 2016), by applying the location-specific and age-specific population-attributable fraction to the number of deaths. Fifteen age groups were included in the equation, i.e., 25–30, 30–35, ..., 90–95, and beyond 95 years old. For lower respiratory infection, children less than 5 years old were also considered.

The number of annual premature deaths  $D_{ij}$  of disease  $j$  attributable to ambient PM<sub>2.5</sub> or MDA8 O<sub>3</sub> for city  $i$  located in region  $k$  (i.e., province) were calculated using the following equations (e.g., Anenberg et al., 2010; J. Huang et al., 2018; Kuerban et al., 2020; M. Li et al., 2018; Song et al., 2016; Q. Wang et al., 2018; Y. Xie et al., 2019; Yue et al., 2020b):

$$D_{ij} = [(RR_j - 1) / RR_j] \times I_{jk} \times P_i \times P_{i,a} \quad (4)$$

$$RR_j = \exp[\beta \times (C - C_0)] \quad (5)$$

where  $RR_j$  is the concentration–response functions and relative risk (RR) of a health outcome (mortality for disease  $j$ ), based on the integrated exposure–responses across the full range of PM<sub>2.5</sub> or MDA8 O<sub>3</sub> concentrations (R. Burnett et al., 2018; R. T. Burnett et al., 2014).  $I_{jk}$  is the reported regional average annual disease mortality rate for disease  $j$  in region  $k$  (i.e., provincial baseline mortality). The  $I_{jk}$  values are different between age strata for ischaemic heart disease and stroke and are the same for the entire group for other kinds of disease (R. T. Burnett et al., 2014; Nawahda et al., 2012, p. Estimation of PM<sub>2.5</sub>-associated disease burden in China in 2020 and 2030 using population and air quality

scenarios: a modelling study);  $P_i$  is the population of city  $i$ ;  $P_{i,a}$  is the proportion of the population in city  $i$  with age  $a$  for the given year; the value of  $\beta$  represents the excess risk of mortality per increase in 1  $\mu\text{g m}^{-3}$  of PM<sub>2.5</sub> or 10  $\mu\text{g m}^{-3}$  MDA8 O<sub>3</sub>;  $C$  and  $C_0$  are the annually averaged concentrations and the references of PM<sub>2.5</sub> or MDA8 O<sub>3</sub>, respectively. Here, annual mean concentrations of PM<sub>2.5</sub> and MDA8 O<sub>3</sub> for each prefecture were calculated using the monitoring concentration data (each prefecture has at least one monitoring site). Following references (Kuerban et al., 2020; Lefohn et al., 2018; Lu et al., 2018), the annual mean MDA8 O<sub>3</sub> ( $C$  in Equation (5)) was calculated using the data from April 1 to September 30. We applied the  $C_0$  values of 10  $\mu\text{g m}^{-3}$  for PM<sub>2.5</sub> and 100  $\mu\text{g m}^{-3}$  for O<sub>3</sub> following the WHO Air Quality Guidelines (Organization, 2006).

## 2.9. Analysis methods

The site level monthly, seasonal and annual values were aggregated to county and region scales (fig. S1 and table S3) as the mean values of all the sites located in the certain county/region. Before analyzing monotonic linear trends from the five year time series data, we de-seasonalized the data to void the bias from the seasonality following ref. (Silver et al., 2018). The Mann-Kendall test was used to assess the significance of trends (using a threshold  $p$ -value of 0.05), and the magnitude of the trend was calculated using the Theil–Sen estimator. Both tests are resistant to outliers, and do not require any assumptions about the data used. Absolute trends were converted to relative trends by dividing by the 2015 to 2019 mean. The  $t$ -test was used to assess the significance of difference in pollution and in their features between regions with a threshold  $p = 0.05$ .

In order to explore the change in the distribution of the annual means of PM<sub>2.5</sub> and MDA8 O<sub>3</sub>, we use the Kernel density estimation method (Davis et al., 2011). The probability distribution function (PDF) is calculated as follows:

$$P(\min \leq x \leq \max) = \int_{\min}^{\max} f_x(x) dx \quad (6)$$

where  $P(\min \leq x \leq \max)$  is the probability of occurrence for  $X$  with values between  $\min$  and  $\max$ , and  $f_x(x)$  is frequency of occurrence of  $X$  at a specific value of  $x$  between  $\min$  and  $\max$ . The air pollutant  $X$  can be PM<sub>2.5</sub> and MDA8 O<sub>3</sub>.

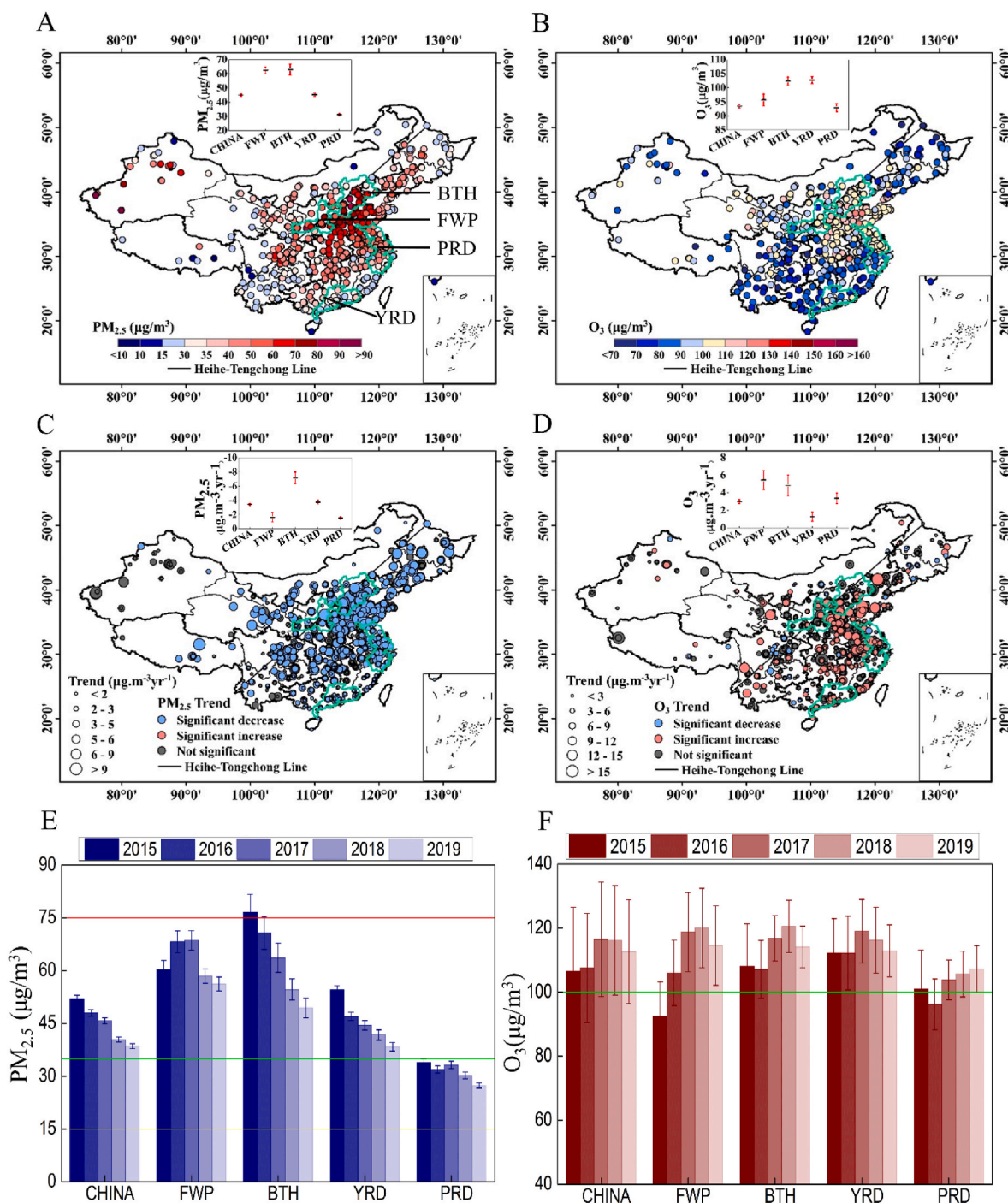
The emission-driven trends (PM<sub>2.5</sub> decreases while ozone increases) would produce a negative relationship between PM<sub>2.5</sub> and ozone. We therefore corrected for the effect of their 2015–2019 trends (K. Li et al., 2019; Y. Wang et al., 2020; Zhao et al., 2020) before doing a rigorous analysis of the observed relationships between the two and of atmospheric total oxidant ( $O_x = O_3 + NO_2$ ) (Table 2 & Fig. S10). The 2015–2019 increase in ozone is also driven by other factors, including trends in NO<sub>x</sub> emissions and meteorology (K. Li et al., 2019). We detrended the time series for PM<sub>2.5</sub>, ozone and NO<sub>2</sub> by removing the ordinary linear regressions of daily concentrations versus time for each quality-assured measurement station over the 2015–2019 period and then adding back the 2015–2019 mean concentrations.

## 3. Results

### 3.1. Improved PM<sub>2.5</sub> and worsened O<sub>3</sub> air quality

The 24-h PM<sub>2.5</sub> and maximum daily 8-h moving average O<sub>3</sub> (MDA8 O<sub>3</sub>) exhibit distinct spatial patterns and their 5-year averages for most of the 1405 sites exceed the air quality guidelines of the World Health Organization (WHO) and of the China's National Ambient Air Quality (NAAQS, Fig. 1A and B, fig.S2 and table S1). High levels of PM<sub>2.5</sub> concentrations were observed in northern and central China, especially in the BTH and Fen Wei Plain (FWP) regions with annual mean values of



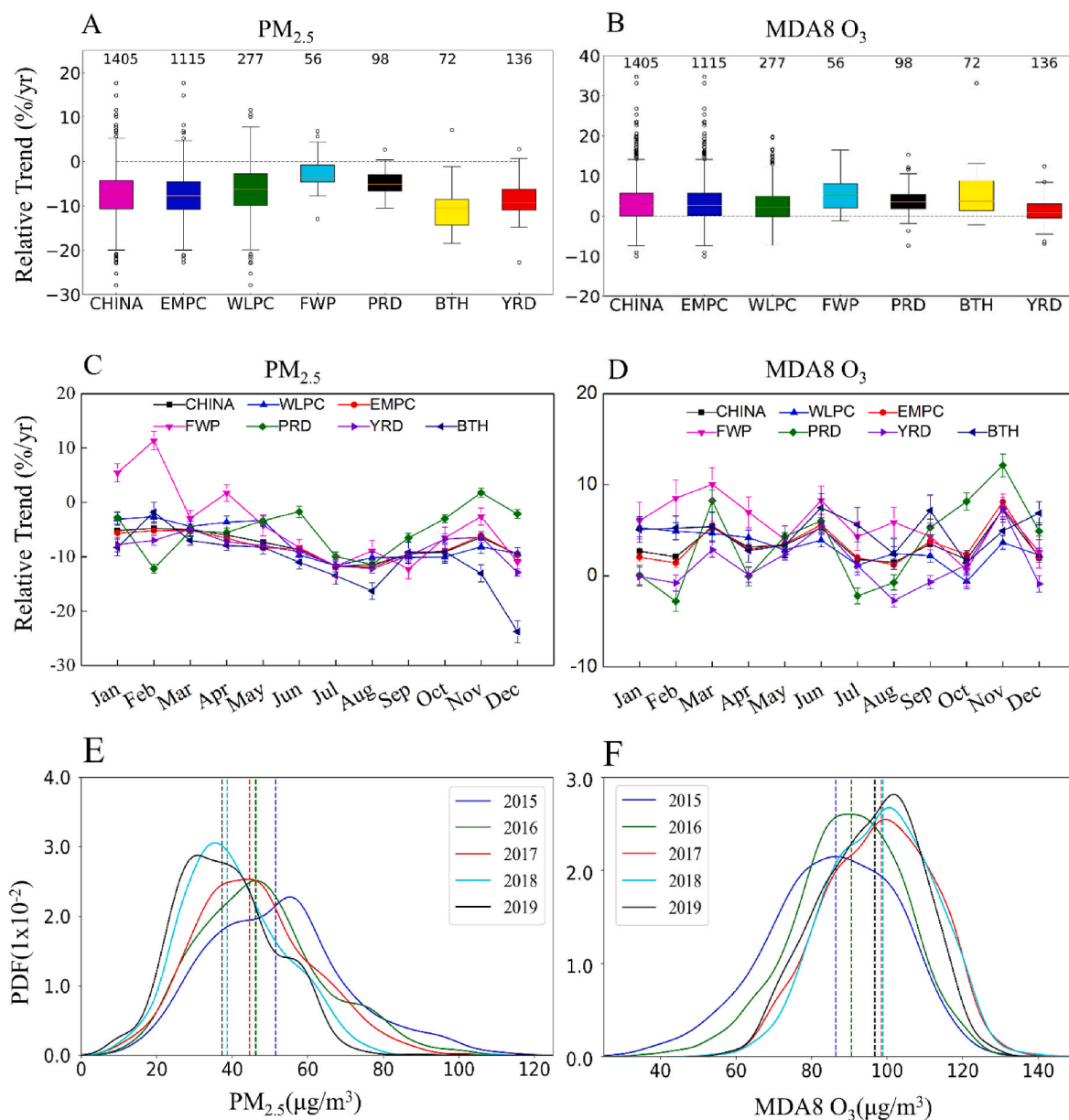


**Fig. 1.** Spatial patterns of 5-year concentration averages of  $PM_{2.5}$  (A) and MDA8  $O_3$  (B) and their linear trends (C, D) at the 1405 sites from 2015 to 2019. E, F show the regional annual averages of  $PM_{2.5}$  (E) and MDA8  $O_3$  (90% percentile, F) for China and the four key regions of Fen Wei Plain (FWP), Beijing-Tianjin-Hebei (BTH), Yangtze River Delta (YRD) and Pearl River Delta (PRD) (table S3, fig.S1). The inserts in A-D show the corresponding regional average values for China and the 4 key regions. Error bars indicate the regional cluster variation ranges (95% CI). The red, green and yellow horizontal lines on E and F show Chinese NAAQS (National Ambient Air Quality Standard) and WHO (World Health Organization) Air Quality Guidelines values (table S1). The statistical significance threshold is  $p = 0.05$ .

$63 \mu g m^{-3}$  (Fig. 1A and E, Fig.S1, Fig.S2 A-F). BTH had the largest range in  $PM_{2.5}$ , from  $<20$  to  $>100 \mu g m^{-3}$ , while PRD had lowest annual mean value of  $32 \mu g m^{-3}$ , with a narrow range from 18 to  $42 \mu g m^{-3}$  (Fig.S5A). High levels of MDA8  $O_3$  concentrations were observed in eastern and northern China, the BTH and YRD regions with annual means of about  $102 \mu g m^{-3}$  (Fig. 1B; Fig.S2 G-L), and the 90-percentile of annual MDA8  $O_3$  concentration larger than  $100 \mu g m^{-3}$  for China (119, 95% CI:  $94-130 \mu g m^{-3}$ ) and for all the four key regions (Fig. 1F). A strong seasonality of both  $PM_{2.5}$  and MDA8  $O_3$  was observed and with dramatic

regional variations (Figs.S4). The highest winter  $PM_{2.5}$  values were observed in the FWP region, while the BTH region had highest values for other seasons (Fig.S4A, Fig.S5). The highest spring MDA8  $O_3$  concentration values were observed in the YRD and BTH regions, the highest summer MDA8  $O_3$  values were observed in the BTH and FWP regions, and the highest autumn and winter MDA8  $O_3$  values were observed in the PRD region (Fig.S4B, Fig.S6).

As shown in Fig. 1A-C, E, Fig. 2A and fig.S2 A-F, a substantial reduction in  $PM_{2.5}$  concentrations from 2015 to 2019 occurred across



**Fig. 2.** Regional averaged linear trends in annual and monthly averages of PM<sub>2.5</sub> (A, C) and MDA8 O<sub>3</sub> (B, D) from 2015 to 2019 for China and 6 key regions (table S3, fig.S1). The number quality-assured sites for each region is indicated at the top of the plot. E, F, the Kernel density estimates of the PDF (probability density function) of PM<sub>2.5</sub> (E) and MDA8 O<sub>3</sub> (F) over China during 2015–2019. The statistical significance threshold is  $p = 0.05$ .

99.7% of the total data-qualified sites (749 significantly decreasing sites over the total 1405 sites; the national mean PM<sub>2.5</sub> concentrations decreased from 52.0 μg m<sup>-3</sup> (95% CI: 50.1–53.0) in 2015 to 38.6 μg m<sup>-3</sup> (95% CI: 37.8–39.3) in 2019 with a median national annual rate of -3.4 μg m<sup>-3</sup> yr<sup>-1</sup> (95% CI: 3.3 to -3.6 μg m<sup>-3</sup> yr<sup>-1</sup>, equal to -4.1.0% to -7.8% yr<sup>-1</sup>, of the 5-year mean); the largest reduction occurred in the BTH region with a mean annual rate of -7.2 μg m<sup>-3</sup> yr<sup>-1</sup> (95% CI: 6.3 to -8.0 μg m<sup>-3</sup> yr<sup>-1</sup>, equal to -10.1% - -12.7% yr<sup>-1</sup>, of the 5-year mean); while the smallest reduction was found in the FWP region with a mean annual rate of -1.6 μg m<sup>-3</sup> yr<sup>-1</sup> (95% CI: 1.0 to -2.3 μg m<sup>-3</sup> yr<sup>-1</sup>, equal to -1.5% - -3.7% yr<sup>-1</sup>, of the 5-year mean).

In contrast, about 84% of sites show increasing trends (16% significant increasing sites,  $P < 0.05$ ) in annual mean MDA8 O<sub>3</sub> concentrations (Fig. 1B–D, F, Fig. 2B and Fig. 2G–L), with a median national increasing trend of 3.0 μg m<sup>-3</sup> yr<sup>-1</sup> (95% CI: 2.8–3.2 μg m<sup>-3</sup> yr<sup>-1</sup>, equally to 3.0%–3.5% yr<sup>-1</sup> of the 5-year average, Fig. 2B). The FWP and BTH regions show higher increasing rates of 5.5 μg m<sup>-3</sup> yr<sup>-1</sup> (95% CI:

4.4–6.5 μg m<sup>-3</sup> yr<sup>-1</sup>, equal to 4.6%–6.8% yr<sup>-1</sup>, of the 5-year average), and of 4.8 μg m<sup>-3</sup> yr<sup>-1</sup> (95% CI: 3.6–6.0 μg m<sup>-3</sup> yr<sup>-1</sup>, equal to 3.6%–5.9% yr<sup>-1</sup>, of the 5-year average); and their the 90-percentile of annual MDA8 O<sub>3</sub> concentrations from 92 to 108 μg m<sup>-3</sup> increased to 120 and 121 μg m<sup>-3</sup>, respectively (Fig. 1F). While the smallest reduction was found in the YRD region with a mean annual rate of 1.3 μg m<sup>-3</sup> yr<sup>-1</sup> (95% CI: 0.8–1.8 μg m<sup>-3</sup> yr<sup>-1</sup>, equal to 3.0%–4.3% yr<sup>-1</sup>, of the 5-year average).

The peak of the estimated Kernel density (PDF: probability distribution function) for annual mean PM<sub>2.5</sub> concentrations over China became steeper and moved to left, indicating that PM<sub>2.5</sub> concentrations of most cities decreased (Fig. 2E). Notably, the upper tail of the distributions decreased over time, implying that the sites with high PM<sub>2.5</sub> values ranging from 75 to 120 μg m<sup>-3</sup> had a great reduction (Fig. 2E). In contrast to PM<sub>2.5</sub>, Fig. 2F shows that the peaks move to the right, indicating an increase in the annual mean MDA8 O<sub>3</sub> concentration in China. Compared to 2015, the MDA8 O<sub>3</sub> values increased by 4.8%, 13.9%,

14.4% and 12.0% per year in the following four years.

The patterns of the estimated Kernel density curves for annual and seasonal PM<sub>2.5</sub> and MDA8 O<sub>3</sub> concentrations were significantly different among different regions (*t*-test  $P < 0.05$ , figs.S5-S7). In some cases, the PDFs for PM<sub>2.5</sub> and MDA8 O<sub>3</sub> are bimodal. While it is difficult to draw strong conclusions, this may indicate heterogeneity in their change regime within some regions. The patterns for O<sub>3</sub> are less consistent than for PM<sub>2.5</sub> (fig.S7) showing a general pattern of decreasing annual means from 2015 to 2019; however, in all cases the peaks of PDF curves (i.e., annual means) for 2015 and 2016 lay to the left of 2017, 2018 and 2019. This indicates that the annual average MDA8 O<sub>3</sub> concentration has tended to increase over time. There were significant differences (*t*-test  $P < 0.05$ ) in monthly decreasing trends of PM<sub>2.5</sub> (Fig. 2C) and monthly and seasonal increasing trends of MDA8 O<sub>3</sub> (Fig. 2D) among the six key regions.

### 3.2. The regional patterns of changes in deaths attributable to PM<sub>2.5</sub> and O<sub>3</sub> pollution

The 5-year averages of PM<sub>2.5</sub> and O<sub>3</sub> -related deaths in China by specific causes (see Materials and Methods) were 1.24 (95% CI: 1.20–1.28) million and 0.18 (95% CI: 0.174–0.185) million, respectively (Fig. 3G and H), which are close to the estimates from the GBD (Stanaway et al., 2018) and other studies (J. Huang et al., 2018; Organization, 2006). The 5-year mean premature deaths per 100 km<sup>2</sup> associated with PM<sub>2.5</sub> and O<sub>3</sub> for the whole China were 39 persons (95% CI: 34–44) and 6 persons (59% CI: 5–7), respectively. The spatial pattern of ‘per-unit-area mortality’ attributed to PM<sub>2.5</sub> and O<sub>3</sub> exposures (Fig. 3A and B), were similar to that for total deaths (fig. S8A, B), shows a very strong heterogeneity and it correlates with both the high levels of pollution and population. The large number of deaths were observed in the area to the southeast of the Heihe -Tenchong Line, which covers the most polluted and fastest-developing regions. High levels of premature deaths attributed to PM<sub>2.5</sub> and O<sub>3</sub> pollution exposure were observed in eastern, northern and central China, especially in the BTH and PRD regions, with annual mean values of 84 (95% CI: 55–113) and 80 (95% CI: 55–105) deaths/100 km<sup>2</sup> for PM<sub>2.5</sub> and 18 (95% CI: 11–24) and 17 (95% CI: 11–24) deaths/100 km<sup>2</sup>, respectively (Fig. 3A and B). In comparison, the western, northwestern and southern parts of China had lower disease burdens (Fig. 3A and B; fig.S9 A, B) owing to lower PM<sub>2.5</sub> concentration (Fig. 1A), lower O<sub>3</sub> concentration (Fig. 1B) and lower population densities. The high ratio values of PM<sub>2.5</sub> -related versus O<sub>3</sub> -related deaths were observed in the area to the northwest of the Heihe -Tenchong Line (Fig. 3C).

As shown in Fig. 4 A&C and fig.S8A&B, at the provincial level, the top three provinces with the highest PM<sub>2.5</sub>-related deaths (>100,000) and O<sub>3</sub> -related deaths (>20,000) were Henan, Shandong and Hebei, and these regions also had a high level of air pollution and population density. The rural provinces, where the population was low and the air pollution concentration was low (annual PM<sub>2.5</sub> concentration <15 μg m<sup>-3</sup> and annual MDA8 O<sub>3</sub> concentration <50 μg m<sup>-3</sup>; Fig. 1A, fig.S2 G-L), such as Ningxia, Qinhai, Hainan and Tibet had very low premature mortality associated with PM<sub>2.5</sub> and O<sub>3</sub>. The three metropolises of Shanghai, Tianjin and Beijing had highest per-unit-area mortality for PM<sub>2.5</sub> and to O<sub>3</sub>. Qinghai, Inner Mongolia and Tibet were the three lowest provinces for PM<sub>2.5</sub> related deaths and Guangxi, Guizhou and Hainan were the three lowest provinces for O<sub>3</sub> related deaths. The top three provinces with the highest per-capita mortality attributed to PM<sub>2.5</sub> are Hebei, Henan and Shandong and to O<sub>3</sub> were Beijing, Shandong and Hebei.

Table 1 and Fig. 3 show contrasting trends in the numbers of all-cause premature mortality attributable to PM<sub>2.5</sub> and O<sub>3</sub> exposures during 2015–2019: the former decreased from 1.45 million (45.1 deaths/100 km<sup>2</sup> (95% CI: 39.2–51.0)) in 2015 to 1.04 million (33.6 deaths/100 km<sup>2</sup> (95% CI: 29.3–37.9)) in 2019, while the latter increased from 0.13 million (7.6 deaths/100 km<sup>2</sup> (95% CI: 6.1–9.0)) in 2015 to 0.21 million

in 2019 (9.0 deaths/100 km<sup>2</sup> (95% CI: 7.7–10.3); Table 1, Fig. 3G and H). The numbers of annual deaths per unit-area attributable to both PM<sub>2.5</sub> and O<sub>3</sub> exposures across China and the three key regions (i.e., BTH, YRD and PRD) decreased though the deaths attributed to O<sub>3</sub> exposure increased from 2015 to 2019, and the changing patterns were highly heterogeneous, especially in the area to the southeast of the Heihe-Tenchong Line (Fig. 3D).

The kernel density estimated PDF curves for PM<sub>2.5</sub>-related deaths over China became steeper and moved to the left while O<sub>3</sub> -related deaths became flatter and moved to the right, indicating the PM<sub>2.5</sub>-related deaths decreased (Fig. 3I) while O<sub>3</sub> -related deaths increased (Fig. 3J). As shown in Fig. 3D–F, the spatial patterns of the trends in the per-unit-area mortality premature deaths (Fig. 3C and D) and the total deaths at a city level (fig.S8 C, D) attributed to PM<sub>2.5</sub>-related and O<sub>3</sub> -related deaths from 2015 to 2019, exhibit very strong heterogeneities with the largest decreasing trends of deaths in BTH and YRD. These spatially heterogeneous patterns were controlled by the spatio-temporal changes in 24-h PM<sub>2.5</sub> concentration (Fig. 1C and fig. S2F) and MDA8 O<sub>3</sub> concentration (fig. 1D and fig. S2L) during 2015–2019 and were also associated with population density. The PM<sub>2.5</sub>-related deaths decreased from 2015 to 2019 for all provinces, with a mean rate of –11% (95% CI: 7–14%) ranging from –4% to –31% (Fig. 4B), whereas O<sub>3</sub> -related deaths for major provinces showed an increasing trend with rates higher than 10% (Fig. 4D).

### 3.3. Changes in anthropogenic emissions of precursor gases of O<sub>3</sub> and secondary PM

The formation of surface ozone and secondary PM is related to anthropogenic emissions of VOCs, carbon monoxide (CO), sulfur dioxide (SO<sub>2</sub>), ammonia (NH<sub>3</sub>) and nitrogen oxide (NO<sub>x</sub> ≡ NO + NO<sub>2</sub>) (K. Li et al., 2019; K. Li et al., 2019; Tan et al., 2018; Y. Wang et al., 2020). In the troposphere, ozone is produced from the reaction of CO, NO<sub>x</sub>, VOCs and CH<sub>4</sub>, with other chemical compounds in the presence of solar radiation (the intensity of UV radiation)(K. Li et al., 2019; Seinfeld et al., 1998; Seinfeld and Pandis, 2016; Tan et al., 2018). Chinese anthropogenic emissions estimated in the Multi-resolution Emission Inventory for China (MEIC) inventory (see Materials and Methods) decreased by 24.4% for CO, by 24.2% for NO<sub>x</sub> and increased by 1.6% for VOCs over the 2012–2017 period (Fig. 5G–L, & fig.S9 J–R). From 2012 to 2017, the emissions of primary PM<sub>2.5</sub> decreased by 35.8% and its precursors were observed to have decreased by 63.1% for SO<sub>2</sub> and by 4.1% for NH<sub>3</sub> (Fig. 5A–F & Fig.S 9 D–I). As shown in Fig. 5 and Fig.S9, the spatial distributions of emissions and their changing trends exhibit highly heterogeneous and the most reduction regions were found in BTH and YRD (PM<sub>2.5</sub> >40%, SO<sub>2</sub>>67%, NO<sub>x</sub>>25%); however, NH<sub>3</sub> and VOCs, as both precursors of PM and O<sub>3</sub>, changed very slightly with regional averaged rates of –3.3% and 3.9% for BTH and –12.5% and 6.9% for YRD, respectively.

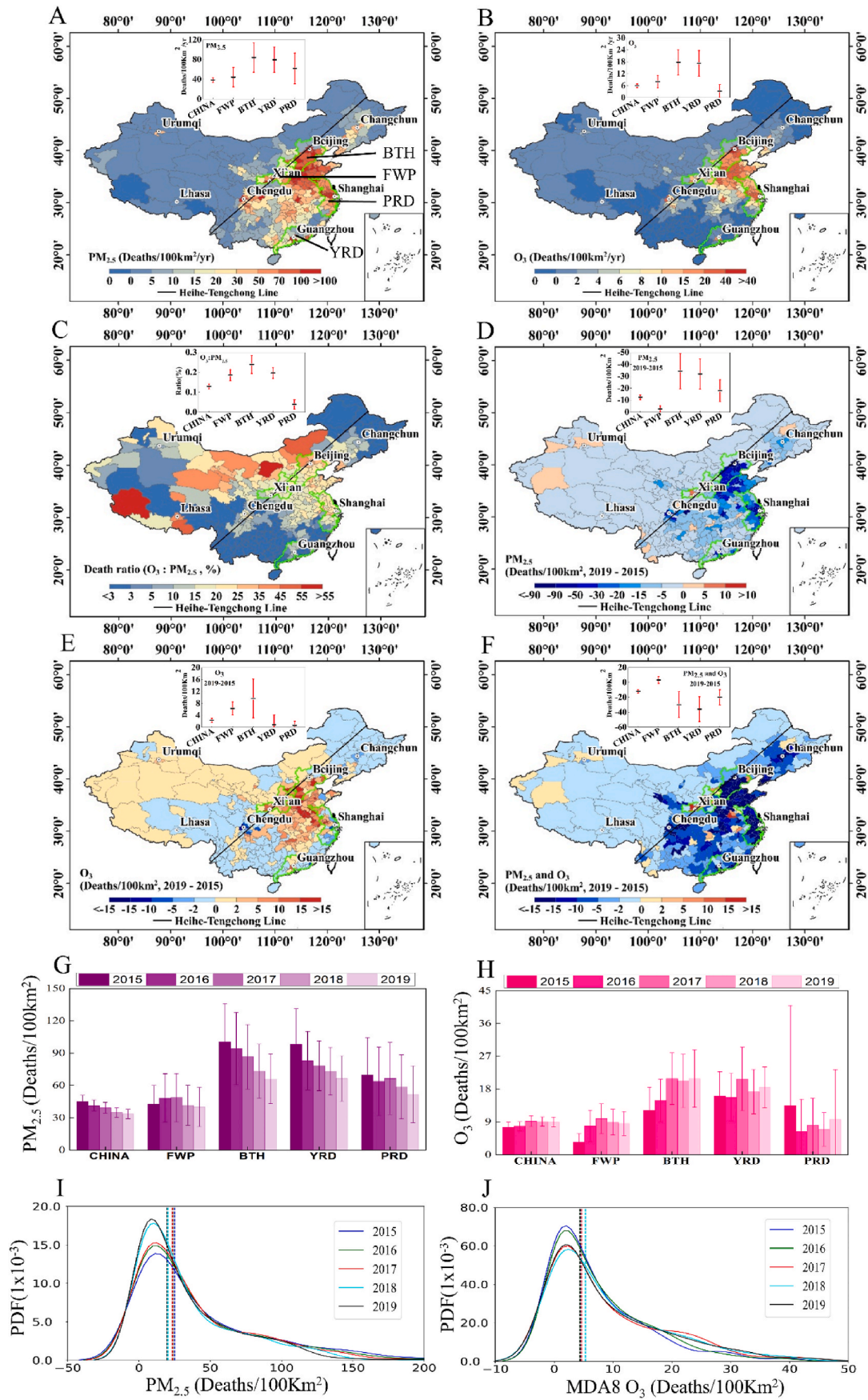
The MEIC inventory data for the trends and spatial distribution of anthropogenic emissions of PM<sub>2.5</sub>, CO, SO<sub>2</sub> and NO<sub>x</sub> during 2015–2019 were consistent with those of *in situ* measured (Fig. 1A, B, and Fig. S3) and remotely sensed concentrations (Dehkoda et al., 2020; Hilboll et al., 2013; Krotkov et al., 2008; C. Li et al., 2017).

## 4. Discussion, conclusion, and policy implications

### 4.1. Observed regionally varied PM<sub>2.5</sub>-O<sub>3</sub> and PM<sub>2.5</sub>-O<sub>x</sub> relationships

The tropospheric ozone and the secondary PM pollutants have different formation mechanisms, and their interactions lead to close connections and relations. The total oxidant (O<sub>x</sub>) (O<sub>x</sub> = O<sub>3</sub> + NO<sub>2</sub>) can be regarded as a quantitative expression of the atmospheric oxidation capacity, which control secondary particle formation (Ding et al., 2013; X. Huang et al., 2020; K. Li et al., 2019; K. Li et al., 2019; Y. Wang et al., 2020; Zhu et al., 2019). We averaged the daily PM<sub>2.5</sub>, MDA8 ozone and





(caption on next page)



**Fig. 3.** Spatial patterns of 5-year averages of premature mortalities per unit-area and their linear trends attributed to long-term exposures of PM<sub>2.5</sub> and O<sub>3</sub> at city levels from 2015 to 2019. A, B, the 5-year averages of all-cause deaths attributed to long-term exposures of PM<sub>2.5</sub> (A) and O<sub>3</sub> (B) per unit-area for 367 cities in death/100 km<sup>2</sup>/yr. C, the 5-year averages of relative contributions of O<sub>3</sub>-attributed mortalities to PM<sub>2.5</sub>-attributed mortalities (in %). D-F, the difference between 2019 and 2015 in annual all-cause mortalities per unit-area attributed to exposures of PM<sub>2.5</sub> (D), O<sub>3</sub> (E) and both of O<sub>3</sub> and PM<sub>2.5</sub> (F). G-H, the regional annual total numbers of all-cause deaths attributed to long-term exposures of PM<sub>2.5</sub> (G) and O<sub>3</sub> (H) for China and the 4 key regions (table S3, fig.S1), where error bars indicate the annual variation ranges (95% CI). In A-E, the insert shows the corresponding regional average values for China and the 4 key regions, where error bars indicate the regional cluster variation ranges (95% CI). I, J, the Kernel density estimates of the PDF of all-cause deaths attributed to exposures of PM<sub>2.5</sub> (G) and O<sub>3</sub> (H) over China during 2015–2019. The statistical significance threshold is  $p = 0.05$ .

NO<sub>2</sub> data over all sites to obtain the daily time series with removal of the 2015–2019 trends (see Materials and Methods) to avoid the influence from the PM<sub>2.5</sub> concentration decrease over the period.

Fig.S10 and Table 2 show the spatial-temporal distributions of correlations of daily PM<sub>2.5</sub> with MDA8 O<sub>3</sub> and O<sub>x</sub>. The PM<sub>2.5</sub> concentrations were significantly positively correlated with O<sub>3</sub> and O<sub>x</sub> concentrations (95% CI) for most regions and seasons over China during 2015–2019, especially for summer time (K. Li et al., 2019; Schnell and Prather, 2017; Zhu et al., 2019), while significant negative PM<sub>2.5</sub>-O<sub>3</sub> correlations (95% CI) were mainly observed in northern China during winter (DJF), autumn (SON) and during heavy pollution episodes, when 24-h daily mean PM<sub>2.5</sub> concentration >50 μg m<sup>-3</sup> or MDA8 ozone >100 μg m<sup>-3</sup>. The positive correlation between PM<sub>2.5</sub> and O<sub>x</sub> was generally much stronger than PM<sub>2.5</sub>-O<sub>3</sub> correlation (Table 2, Fig. S10). The strongest positive PM<sub>2.5</sub>-O<sub>3</sub> and PM<sub>2.5</sub>-O<sub>x</sub> correlations ( $r > +0.7$ ) were observed in southern China during summer (JJA), and the strongest negative correlations ( $r < -0.5$ ) were observed in northern China during winter (DJF). Interestingly, the spatial-temporal distribution patterns of PM<sub>2.5</sub>-O<sub>3</sub> correlations strongly resembled those of temperature (Fig. S11). Most sites with positive PM<sub>2.5</sub>-O<sub>3</sub> correlations were observed over warm southern China (e.g., PRD region) reaching the strongest correlations in summer and most sites with negative PM<sub>2.5</sub>-O<sub>3</sub> correlations were found over cold northern China, where the strongest correlations were found in winter (e.g., BTH and FWP regions).

PM<sub>2.5</sub> and ozone exhibited varying and even inverse correlations among different regions, seasons, pollution episodes and different warm air temperature conditions (Schnell and Prather, 2017; Zhu et al., 2019). The positive PM<sub>2.5</sub>-O<sub>x</sub> correlations exhibited, under almost all conditions except for heavy episodes. The PM<sub>2.5</sub> and ozone positive correlations prevailed for high air temperature samples, while the negative correlations were generally found in cold environments and heavy polluted days. These phenomena indicate their different interaction mechanisms of secondary pollution formation over time and across space, which are discussed below.

**Inhibition of PM<sub>2.5</sub> on ozone generation by reducing photolysis rates mostly occurred in cold environments.** Particulate's scatter or absorb solar radiation directly and consequently decrease the actinic flux of ultraviolet (UV) radiation and inhibit the photolysis reactions near the surface by reducing the photolysis rates. The ozone generation is finally suppressed (J. Li et al., 2011; M. T. Li et al., 2018; Menon et al., 2008; Real and Sartelet, 2011; Tie et al., 2005; Zhu et al., 2019). For north China in winter, heating in cold weather (Fig. S12D) and low boundary layer height associated with low temperature led to high PM<sub>2.5</sub> concentration. This suppressed O<sub>3</sub> generation. It was reported that surface photolysis rates J(NO<sub>2</sub>) and J(O<sub>3</sub>) in Eastern China were reduced by 10–30% and 20–30% respectively, due to the effect of particulates on photolytic radiation in winter (Tie et al., 2005).

**Suppressing ozone generation by NO titration effect in the cold season at low temperatures.** It was reported (Zhu et al., 2019) that the NO concentration was about 11 μg m<sup>-3</sup> for BTH in January, which is five times higher than that for PRD in July. It is known that O<sub>3</sub> production is removed by freshly emitted NO through the "O<sub>3</sub> + NO → NO<sub>2</sub> + O<sub>2</sub>" reaction (T. Wang et al., 2017; M. Xie et al., 2016). NO and BC, as well as PM<sub>2.5</sub>, have similar sources, such as combustion and traffic activities (H. Chen et al., 2019; Ding et al., 2013). Northern China in winter has low air temperatures and was characterized by weaker atmospheric oxidation ability and consequently more NO was freshly emitted. Therefore,

less NO was converted to NO<sub>2</sub>, which contrasts with southern regions of China in summer, such as PRD, where more NO was converted to NO<sub>2</sub>. The self-suppression of ozone production as the NO/NO<sub>2</sub> ratio shifts towards NO<sub>2</sub> was also reported to increase ozone under highly polluted conditions (K. Li et al., 2019). The negative PM<sub>2.5</sub>-O<sub>3</sub> correlation for northern China in winter (Fig. S E) may be partly attributed to the strong NO titration effect on removal of ozone.

**Uptake of HO<sub>2</sub> by PM<sub>2.5</sub> suppressing ozone formation.** HO<sub>2</sub> uptake by particles provides a sink for HO<sub>x</sub> radicals and hence suppresses ozone formation (Abbatt et al., 2012; Jacob, 2000; K. Li et al., 2019; K. Li et al., 2019; Taketani et al., 2012). It is unclear whether the product of HO<sub>2</sub> uptake is H<sub>2</sub>O<sub>2</sub> or H<sub>2</sub>O (Mao et al., 2013). In GEOS-Chem simulations this effect on ozone formulation is small (K. Li et al., 2019). A complex aqueous-phase conversion of NO<sub>2</sub>, nitrate radicals (NO<sub>3</sub>) and dinitrogen pentoxide (N<sub>2</sub>O<sub>5</sub>) to nitric acid (HNO<sub>3</sub>) leads to the uptake of NO<sub>x</sub> by PM<sub>2.5</sub> (Jacob, 2000). The effect of NO<sub>x</sub> uptake on ozone is large and occurs in the cold season and under heavy pollution conditions. This is partly due to the substantial decrease (24%) in NO<sub>x</sub> emissions during 2015–2019 (Fig. 5G). This has made ozone production more NO<sub>x</sub> sensitive. This is also evident from GEOS-Chem simulation (K. Li et al., 2019).

**High total oxidant (O<sub>x</sub>) and active photochemical activity promoting secondary pollution in hot environments.** The secondary organic aerosol (SOA) is reported to have a strong correlation with O<sub>x</sub> which indicates SOA formation is mainly promoted by photochemical oxidation. High concentration of O<sub>3</sub> generally accompanies in a high temperature environment with a strong atmospheric photochemical reactivity, and significantly promotes the level of atmospheric oxidation, which in turn enhances the formation of both secondary inorganic particulates and secondary organic particulates (D. Wang et al., 2016). This effect of ozone on the secondary particulates formation eventually leads to significant positive PM<sub>2.5</sub>-O<sub>3</sub> correlations. These were observed in hot environments (Fig.S11), i.e., in summer for almost whole China (Fig.S10 C) and in other seasons only for southern China (Fig.S10 A, D-E).

#### 4.2. Towards a region oriented simultaneously joint PM<sub>2.5</sub> and ozone pollutants control strategy

Previous research reported contrasting trends of PM<sub>2.5</sub> and surface-ozone concentrations in China (Y. Wang et al., 2020). This paper quantifies regionally variable trends and associated mortality of severe wintertime PM<sub>2.5</sub> and summertime surface-ozone during 2015–2019. A substantial PM<sub>2.5</sub> decrease during the 5-year period by annual rate of 3.3 μg m<sup>-3</sup> (about 7.6% of the 5-year average) mainly attributed to specific-purpose clean air policies imposed since 2013 (Q. Zhang et al., 2019). Unfortunately, surface-ozone concentration increased rapidly owing to complicated formation mechanisms involving many factors. These include increased UV radiation (Y. Wang et al., 2020), changes in anthropogenic precursors (NO<sub>x</sub> and VOCs) emissions (K. Li et al., 2019), the effect of decreasing PM<sub>2.5</sub> (K. Li et al., 2019), and regional specific NO<sub>x</sub>/VOCs ratio, which controls the NO<sub>x</sub>-limited or VOCs-limited regimes (Y. Wang et al., 2020).

The PM<sub>2.5</sub> control have had unintended effects on the surface ozone, an irritant of the respiratory system. The total number of deaths attributable to both PM<sub>2.5</sub> and O<sub>3</sub> exposure across China showed a slight decreasing trend while the deaths associated to O<sub>3</sub> exposure increased

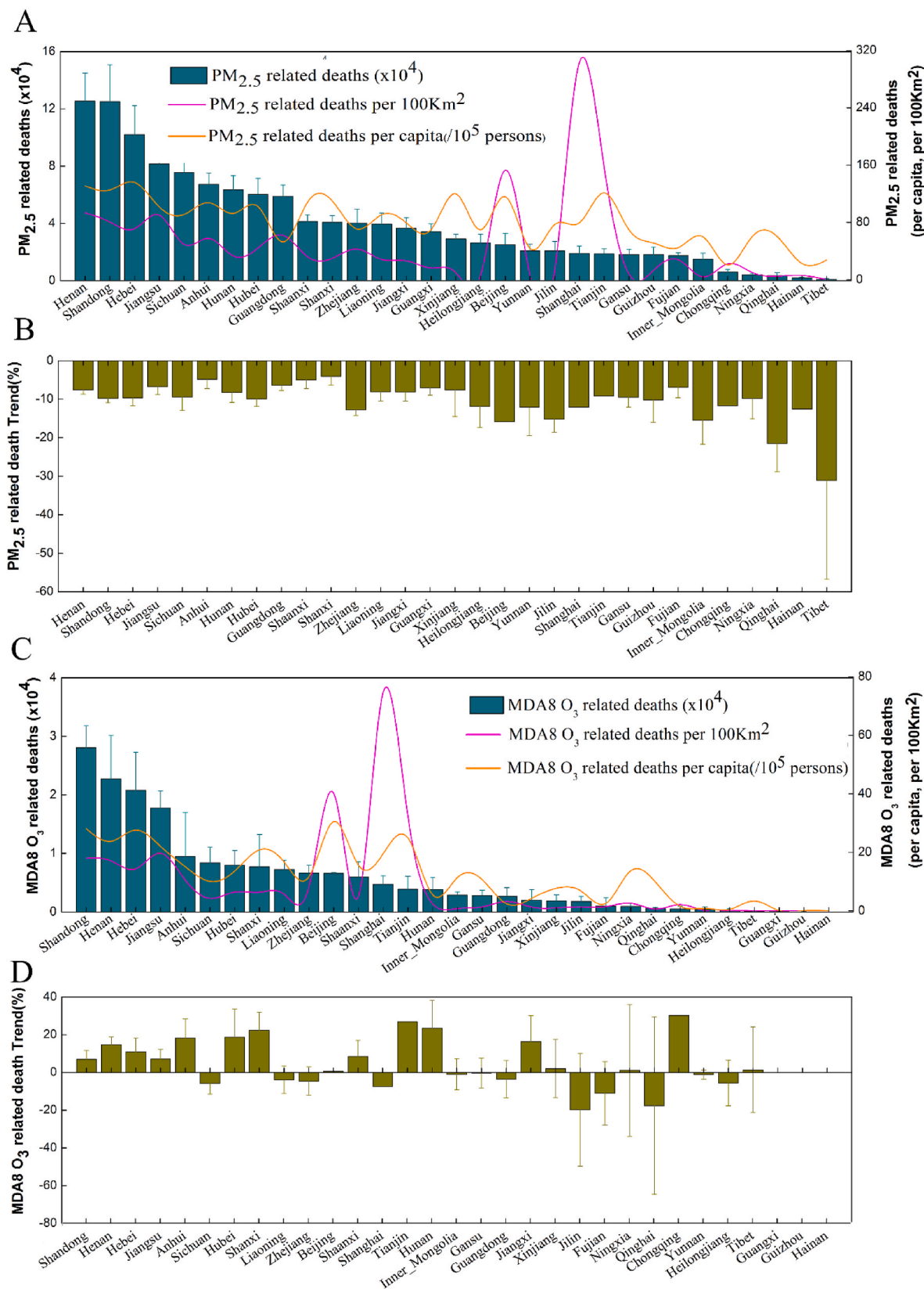


Fig. 4. 5-year (2015–2019) averaged annual premature mortality attributed to exposures of PM<sub>2.5</sub> (A) and ozone (C) for each province in China and the linear trends attributed to PM<sub>2.5</sub> (B) and to ozone (D) from 2015 to 2019. In A and C, the main vertical axes indicate the 5-year mean annual number of premature deaths attributed to PM<sub>2.5</sub> or ozone and the secondary vertical axes indicate the respective per-capita deaths and per-unit-area mortality. The statistical significance threshold is  $p = 0.05$ .

**Table 1**Comparison of the annual total number of deaths attributable to PM<sub>2.5</sub> and O<sub>3</sub> in China and the 6 key regions (see table S3 and fig.S1), Unit: million deaths.

	Region	2015	2016	2017	2018	2019	Mean
PM <sub>2.5</sub>	China	145.3201	133.704	126.9184	110.2835	103.6923	123.9837
	FWP	22.972	22.2567	21.1627	19.2654	18.1391	20.7592
	BTH	17.4152	16.4545	15.045	12.7284	11.3676	14.6021
	YRD	17.3044	14.6431	13.9515	12.8923	11.7737	14.11
	PRD	6.5321	6.0599	6.3208	5.6343	4.9028	5.8900
MDA8 O <sub>3</sub>	China	12.5277	15.335	21.4119	20.5708	20.0995	17.9890
	FWP	1.8257	3.111	4.5958	4.3109	4.3944	3.6476
	BTH	2.1163	2.6404	3.6524	3.5911	3.6232	3.1247
	YRD	2.7463	2.506	3.5424	2.8936	2.8474	2.9071
	PRD	0.1782	0.1425	0.3813	0.4004	0.2567	0.2718

**Table 2**Statistical correlations for 24-h PM<sub>2.5</sub> versus MAD8 O<sub>3</sub> and 24-h PM<sub>2.5</sub> versus daily O<sub>x</sub> concentrations at all sites during 2015–2019 in China and the four key cluster regions<sup>a</sup>.

Region	Time	All time		Pollution data		Spring		Summer		Autumn		Winter	
		Correlation	PM <sub>2.5</sub> vs O <sub>3</sub>	PM <sub>2.5</sub> vs O <sub>x</sub>	PM <sub>2.5</sub> vs O <sub>3</sub>	PM <sub>2.5</sub> vs O <sub>x</sub>	PM <sub>2.5</sub> vs O <sub>3</sub>	PM <sub>2.5</sub> vs O <sub>x</sub>	PM <sub>2.5</sub> vs O <sub>3</sub>	PM <sub>2.5</sub> vs O <sub>x</sub>	PM <sub>2.5</sub> vs O <sub>3</sub>	PM <sub>2.5</sub> vs O <sub>x</sub>	PM <sub>2.5</sub> vs O <sub>3</sub>
China	Positive	25.66	53.93	2.64	9.35	53.93	82.10	88.67	91.87	47.17	80.67	30.31	89.28
	Negative	65.27	11.95	93.64	81.44	11.95	4.10	2.60	1.57	23.69	2.73	45.32	1.43
	Not-Sig	9.07	34.13	3.72	9.21	34.13	13.80	8.74	6.56	29.15	16.60	24.37	9.29
FWP	Positive	0	3.39	0	0	3.39	33.90	79.66	81.36	0	30.51	0	89.83
	Negative	100	64.41	100	100	64.41	5.09	1.70	0	91.53	0	96.61	1.70
	Not-Sig	0	32.20	0	0	32.20	61.02	18.64	18.64	8.48	69.49	3.39	8.48
BTH	Positive	6.58	50.00	0	1.32	50.00	94.78	82.90	88.16	6.58	86.84	0	94.74
	Negative	88.16	3.95	100	93.42	3.95	0	2.63	0	36.84	0	98.68	0
	Not-Sig	5.26	46.05	0	5.26	46.05	5.26	14.47	11.84	56.58	13.16	1.32	5.26
YRD	Positive	14.94	71.90	0	4.55	71.90	98.04	100	100	66.01	98.04	20.26	100
	Negative	61.69	1.31	100	79.87	1.31	0	0	0	1.31	0	10.46	0
	Not-Sig	23.38	26.80	0	15.58	26.80	1.96	0	0	32.68	1.96	69.28	0
PRD	Positive	100	99.00	17	78	99.00	100	100	100	100	100	100	100
	Negative	0	0	60	7	0	0	0	0	0	0	0	0
	Not-Sig	0	1	23	15	1	0	0	0	0	0	0	0

<sup>a</sup> The number of quality-assured sites for China and the four key cluster regions (table S3 and fig.S1) is 1405 (China), 56 (FWP), 72 (BTH), 136 (YRD) and 98 (PRD). The table shows the percentage of sites with significant positive, negative or not significant (Not-Sig) correlations over China and the 4 cluster regions ( $p = 0.05$ ). Daily O<sub>x</sub> = MDA8 O<sub>3</sub> + 24-h NO<sub>2</sub> is the total atmospheric oxidant. The convective time series data for the whole year (annual), spring (MAM), summer (JJA), autumn (SON) and winter (DJF) were used for correlation analysis. The “pollution data” refer to the data when 24-h daily mean PM<sub>2.5</sub> concentration >50 μg m<sup>-3</sup> or MDA8 ozone >100 μg m<sup>-3</sup>.

from 2015 to 2019. The changes in mortality, PM<sub>2.5</sub> and ozone showed obvious spatially heterogeneous patterns across the four key regions, 31 provinces and 367 cities. Urban regions and rural areas had different ozone production regimes: NO<sub>x</sub>-limited, VOC-limited or transition between them. In addition, the responses of P(O<sub>3</sub>) to changes in NO<sub>x</sub> and/or VOCs, the various reactions of HO<sub>2</sub>, OH, and RO<sub>2</sub>, the responses of ozone production to multiple chemical and meteorological conditions, are region dependent and have high heterogeneities. Considering a non-linear tipping point of NO<sub>x</sub> chemistry involved, to reduce both haze and ozone pollution requires the balance of emitted species with different ratios of VOCs and NO<sub>x</sub> among different regions (X. Huang et al., 2020).

The results presented in this paper have important implications for developing a region oriented simultaneously joint two-pollutant control air pollution strategies to decrease both PM<sub>2.5</sub> and ozone coordinately in China. Sophisticated regulations of emission reduction controlling VOC and NO<sub>x</sub> emissions based on local atmospheric chemistry would substantially improve both PM<sub>2.5</sub> and ozone air quality, otherwise, reducing its precursors may even cause an increase in summer surface ozone (K. Li et al., 2019; Lu et al., 2019; Organization, 2006), because atmospheric compound pollution has the characteristics of multiple pollution types superimposed, multiple process couplings and multi-scale pollution interactions, whose core driving force is atmospheric oxidation, and the representative pollutant is O<sub>3</sub>.

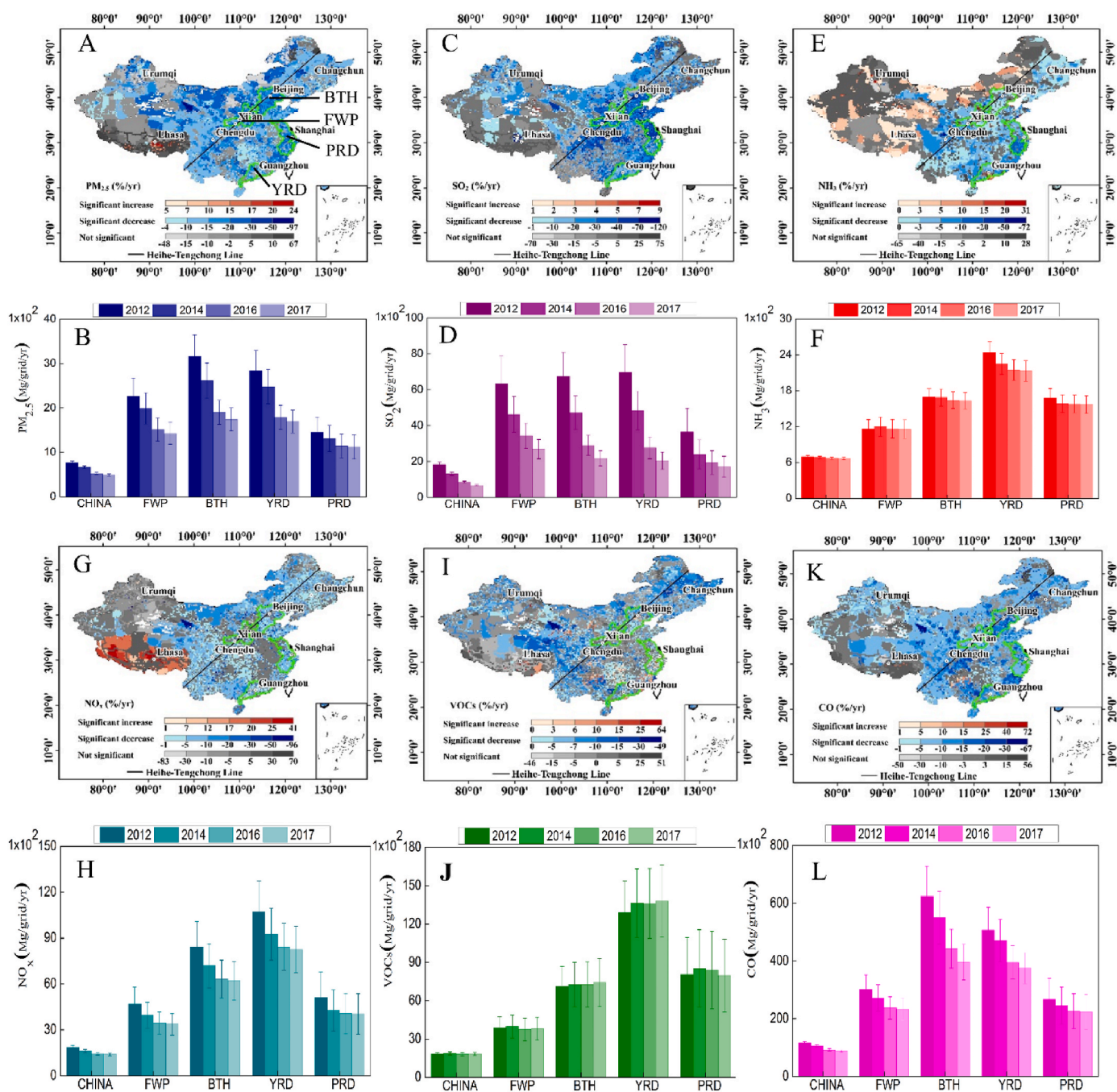
Trade-offs may occur in the coordinated prevention of ozone and

PM<sub>2.5</sub>, hence a deep understanding of the chemical reaction between pollutants and the mechanisms of atmospheric oxidation would allow us to propose an optimized control strategy to simultaneously reduce both pollutants. It is required to establish a region-oriented VOCs and NO<sub>x</sub> coordinated control strategy: on the basis of substantially reducing VOCs, gradually establish a long-term strategy for coordinated control of multiple pollutants with NO<sub>x</sub> reduction. Our highly resolved results can provide detailed insights for supporting decision making and public health management in China. Our findings also have implications for other countries that face heavy air pollution, such as India, Pakistan, Bangladesh, and Indonesia. This around-the-world transfer of effective measures for cleaner air could benefit a vast amount of people.

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**Fig. 5.** Spatial distributions of linear trends of annual anthropogenic emissions at a  $0.25^{\circ} \times 0.25^{\circ}$  grid level and annual emissions of China and 4 key cluster regions from 2012 to 2017. A, C, E, G, H, I, the spatial distributions of linear trends in pollutant emissions of PM<sub>2.5</sub> (A), SO<sub>2</sub> (C), NH<sub>3</sub> (E), NO<sub>x</sub> (G), VOCs (I); and CO (K). B, D, F, H, J, L, the regional annual means of PM<sub>2.5</sub> (B), SO<sub>2</sub> (D), NH<sub>3</sub> (F), NO<sub>x</sub> (H), VOCs (J) and CO (L) during 2012–2017 for China and the 4 key regions (Table S3, Fig. S1). The anthropogenic emissions are estimated using MEIC (see Materials and Methods). The statistical significance threshold is  $p = 0.05$ .

**Data and materials availability**

All data needed to evaluate the conclusions in the paper are present in the paper and/or the Supplementary Materials. Additional data related to this paper may be requested from the corresponding authors.

**CRedit authorship contribution statement**

**Baozhang Chen:** Conceptualization, Formal analysis, Funding acquisition, Investigation, Methodology, Project administration, Resources, Supervision, Validation, Visualization, Writing – original draft, Writing – review & editing. **Sheng Zhong:** Data curation, Formal

analysis, Investigation, Validation, Visualization, Writing – original draft. **Nicholas A.S. Hamm:** Data curation, Validation, Visualization, Writing – review & editing. **Hong Liao:** Data curation, Formal analysis, Validation, Writing – review & editing. **Tong Zhu:** Data curation, Formal analysis, Validation, Writing – review & editing. **Shu'an Liu:** Data curation, Validation, Visualization. **Huifang Zhang:** Data curation, Investigation, Visualization. **Lifeng Guo:** Data curation, Visualization. **Kun Hou:** Data curation, Validation, Visualization.

**Declaration of competing interest**

The authors declare that they have no known competing financial



interests or personal relationships that could have appeared to influence the work reported in this paper.

## Data availability

Data will be made available on request.

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## Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.atmosenv.2024.120708>.

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