



# Control of particulate nitrate air pollution in China

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**The concentration of fine particulate matter (PM<sub>2.5</sub>) across China has decreased by 30–50% over the period 2013–2018 due to stringent emission controls. However, the nitrate component of PM<sub>2.5</sub> has not responded effectively to decreasing emissions of nitrogen oxides and has actually increased during winter haze pollution events in the North China Plain. Here, we show that the GEOS-Chem atmospheric chemistry model successfully simulates the nitrate concentrations and trends. We find that winter mean nitrate would have increased over 2013–2018 were it not for favourable meteorology. The principal cause of this nitrate increase is weaker deposition. The fraction of total inorganic nitrate as particulate nitrate instead of gaseous nitric acid over the North China Plain in winter increased from 90% in 2013 to 98% in 2017, as emissions of nitrogen oxides and sulfur dioxide decreased while ammonia emissions remained high. This small increase in the particulate fraction greatly slows down deposition of total inorganic nitrate and hence drives the particulate nitrate increase. Our results suggest that decreasing ammonia emissions would decrease particulate nitrate by driving faster deposition of total inorganic nitrate. Decreasing nitrogen oxide emissions is less effective because it drives faster oxidation of nitrogen oxides and slower deposition of total inorganic nitrate.**

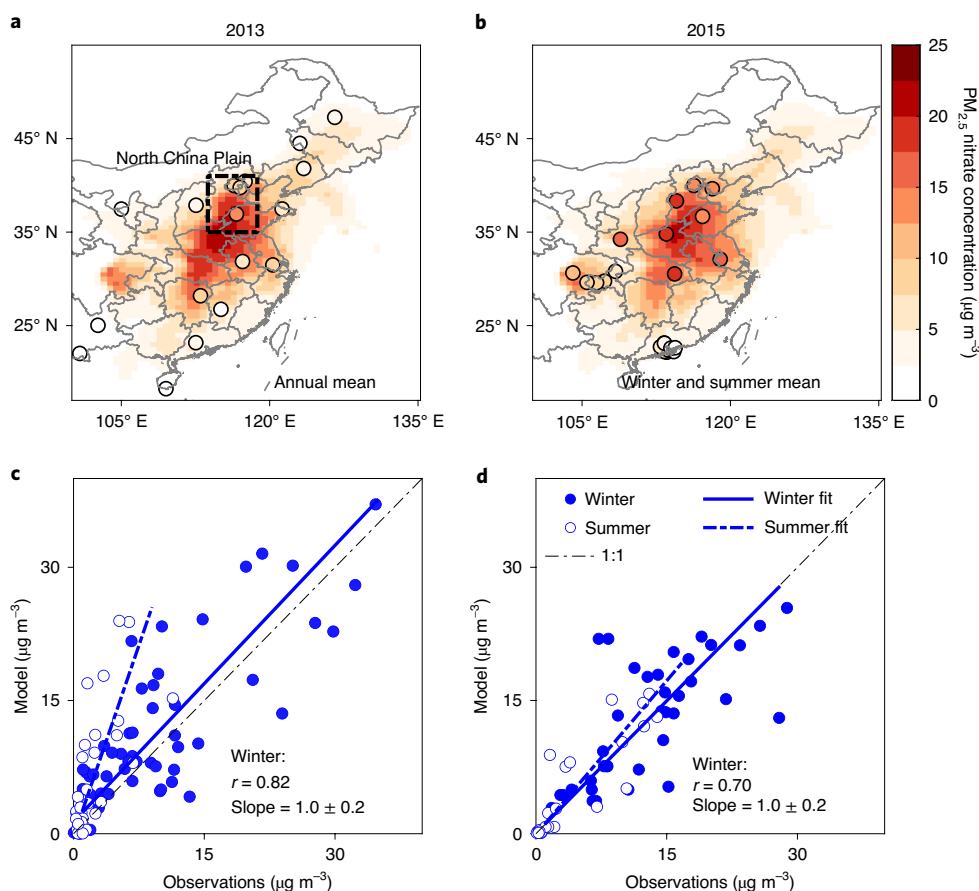
The Clean Air Action of the Chinese government, initiated in 2013, has imposed increasingly stringent emission controls to decrease fine particulate matter pollution (PM<sub>2.5</sub>, particles smaller than 2.5 μm in diameter)<sup>1</sup>. Observations from the China Ministry of Ecology and Environment (MEE) monitoring network show a 30–50% decrease in annual mean PM<sub>2.5</sub> across the country from 2013 to 2018 that can be largely credited to emission controls<sup>2,3</sup>. However, the nitrate (NO<sub>3</sub><sup>-</sup>) component of PM<sub>2.5</sub> has not shown a consistent decrease<sup>4,5</sup>, despite an estimated 21% nationwide reduction in the emissions of nitrogen oxides (NO<sub>x</sub> ≡ NO + NO<sub>2</sub>) from fuel combustion<sup>6</sup>. Wintertime nitrate in Beijing has shown no decrease<sup>5,7–9</sup>, despite a 43% reduction in NO<sub>x</sub> emissions<sup>10</sup>, and has in fact increased during the severe pollution events known as winter haze<sup>11–13</sup>. Nitrate is now the principal component of Beijing winter haze pollution, contributing to 30–40% of PM<sub>2.5</sub> mass during winter haze days in 2016–2019<sup>11–16</sup>. There is an urgent need to better understand why nitrate is not decreasing.

Particulate nitrate originates from the atmospheric oxidation of NO<sub>x</sub> to nitric acid (HNO<sub>3</sub>), which then partitions into the particulate phase depending on the availability of ammonia (NH<sub>3</sub>) as well as temperature and relative humidity. NH<sub>3</sub> is mainly emitted by agriculture, but vehicles may also be an important factor in

urban environments<sup>17–20</sup>. As a base, NH<sub>3</sub> first neutralizes sulfate, and the remaining NH<sub>3</sub> can then form particulate ammonium nitrate (NH<sub>4</sub>NO<sub>3</sub>) in equilibrium with the gas phase. The simplest explanation for the lack of nitrate response to NO<sub>x</sub> emission decreases would be limitation by NH<sub>3</sub>, combined with strong SO<sub>2</sub> emission controls under the Clean Air Action allowing more NH<sub>3</sub> to be available to form nitrate. However, this is not the case over North China, because NH<sub>3</sub> emissions are very high, so NH<sub>4</sub>NO<sub>3</sub> formation is not limited by the supply of NH<sub>3</sub>, but instead by that of total nitrate (NO<sub>3</sub><sup>T</sup> ≡ HNO<sub>3</sub> + NO<sub>3</sub><sup>-</sup>)<sup>13,21,22</sup>. Satellite observations show an increase of NH<sub>3</sub> over eastern China from 2013 to 2017, attributed to the decrease in sulfate<sup>4,23,24</sup>.

Another possible explanation for the lack of nitrate decrease would be faster conversion of NO<sub>x</sub> to NO<sub>3</sub><sup>T</sup> due to an increase in oxidants<sup>9,25</sup>. The conversion takes place in the daytime by gas-phase oxidation of NO<sub>2</sub> by the hydroxyl radical (OH). At night it takes place by oxidation of NO<sub>2</sub> by ozone (O<sub>3</sub>) to produce the NO<sub>3</sub> radical, which combines with NO<sub>2</sub> to form N<sub>2</sub>O<sub>5</sub>, which then hydrolyses to nitrate in aqueous particles. Additional pathways include uptake of NO<sub>2</sub> and NO<sub>3</sub> in aqueous particles and oxidation of volatile organic compounds (VOCs) by the NO<sub>3</sub> radical<sup>25–28</sup>. Decreasing NO<sub>x</sub> emissions in winter would drive an increase in ozone and OH, shortening

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**Fig. 1 |  $PM_{2.5}$  nitrate concentrations in China and comparisons between observations and GEOS-Chem model results.** **a, b**, Surface air  $PM_{2.5}$  nitrate concentrations from two nationwide datasets (circles) and GEOS-Chem (background) for 2013 (**a**, annual mean) and 2015 (**b**, summer and winter mean). The colour bar shows  $PM_{2.5}$  nitrate concentration in  $\mu\text{g m}^{-3}$ . **c, d**, Scatterplots of observed and modelled winter (filled circles) and summer (open circles) monthly mean (seasonal mean for the 2015 dataset) nitrate at individual sites. Also shown in **c** and **d** are the 1:1 lines, the wintertime correlation coefficients ( $r$ ) between model and observations, and the corresponding reduced-major-axis regressions and slopes ( $\pm 95\%$  confidence interval). The 2013 dataset is from the Campaign on Atmospheric Aerosol Research network of China (CARE-China), with nitrate measured by ion chromatography<sup>36,48</sup>. The 2015 dataset is from ref.<sup>37</sup>, and only includes sites that have both winter and summer observations. The dashed rectangle in **a** delineates the North China Plain region as defined in this paper (113.75°–118.75° E, 35°–41° N).

the  $\text{NO}_x$  lifetime against conversion to  $\text{NO}_3^T$  (refs.<sup>9,25</sup>). Satellite data and GEOS-Chem model simulations for China suggest that the lifetime of  $\text{NO}_x$  against conversion to  $\text{NO}_3^T$  has decreased in winter, although not enough to overcome the effect of decreasing  $\text{NO}_x$  emissions<sup>25</sup>. Decreasing VOC emissions would be beneficial by decreasing oxidant levels and hence increasing the  $\text{NO}_x$  lifetime<sup>29,30</sup>, but a model simulation with 30% reduction of VOC emissions together with 32% reduction of  $\text{NO}_x$  emissions for 2010–2017 finds only an 8.6% decrease in wintertime nitrate in northern China<sup>9</sup>.

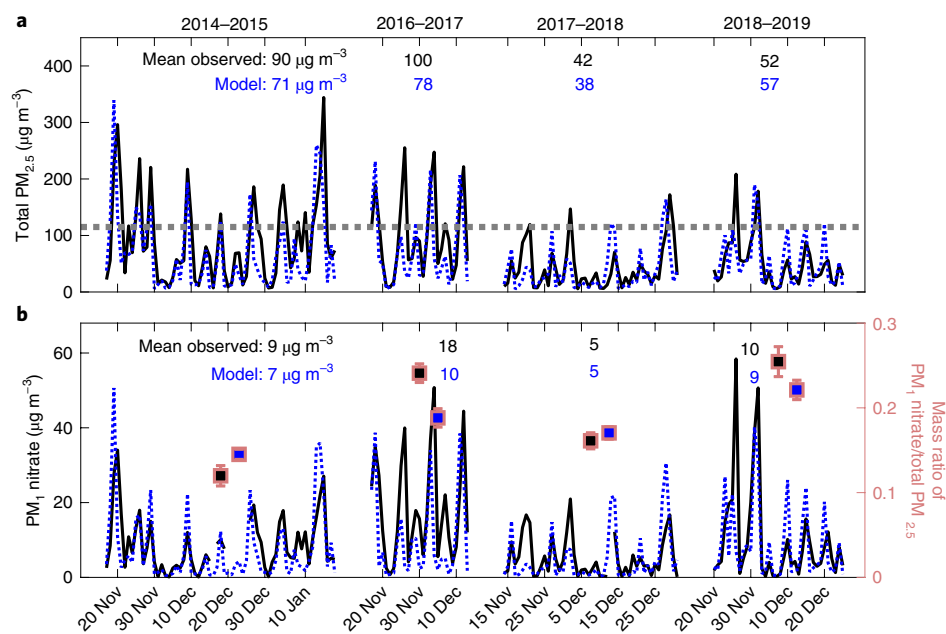
In this Article, we aim to understand the factors controlling  $PM_{2.5}$  nitrate in China by interpreting observed nitrate trends for 2013–2018 with the GEOS-Chem atmospheric chemistry model, driven by the Multi-resolution Emission Inventory for China (MEIC)<sup>6</sup>. GEOS-Chem has been extensively evaluated with observations of  $PM_{2.5}$ ,  $\text{NO}_x$  and oxidant chemistry in China<sup>25,31–33</sup>. Here, we implement a new GEOS-Chem wet scavenging scheme by ref.<sup>34</sup> that corrects previous overestimates of  $PM_{2.5}$  nitrate and reproduces the observed nitrate wet deposition fluxes from the National Nitrogen Deposition Monitoring Network (NNDMN<sup>35</sup>; Extended Data Fig. 1). We find in the model that the major cause for the lack of response of nitrate to  $\text{NO}_x$  emission controls in winter, including the increase of nitrate during winter haze episodes, is a large

increase in the  $\text{NO}_3^T$  lifetime against deposition driven by a relatively small increase in the particulate fraction of  $\text{NO}_3^T$ . From there we suggest that  $\text{NH}_3$  emission controls would be most effective for decreasing  $PM_{2.5}$  nitrate.

### Particulate nitrate distributions and trends

Extensive data for total  $PM_{2.5}$  in China are available from the MEE network<sup>2</sup>, but data for  $PM_{2.5}$  components are limited to research sites that are generally operated only for brief periods. Figure 1 compares GEOS-Chem model results to  $PM_{2.5}$  nitrate concentrations in two nationwide observational datasets for 2013 and 2015<sup>36,37</sup>. Additional comparisons for the ensemble of  $PM_{2.5}$  components are shown in Extended Data Figs. 2 and 3. Annual mean  $PM_{2.5}$  nitrate in eastern China can reach up to  $25 \mu\text{g m}^{-3}$ , typically contributing 15–25% of total  $PM_{2.5}$  mass<sup>5,38,39</sup>. Nitrate concentrations are much higher in winter than in summer, because low temperatures favour the particulate phase of nitrate. The model tends to be too high in summer 2013, but concentrations are then generally low. There is no systematic model bias in winter when concentrations are high.

Figure 2 shows the daily 2014–2019 time series of observed wintertime total  $PM_{2.5}$  and its nitrate component in Beijing, as well as the mass ratio of nitrate to total  $PM_{2.5}$ , averaged for each winter.



**Fig. 2 | PM<sub>2.5</sub> and nitrate trends in Beijing.** **a, b**, Time series of daily total PM<sub>2.5</sub> (**a**) and PM<sub>1</sub> nitrate (**b**) in Beijing for winters in 2014–2019. Also shown in **b** is the mass ratio of PM<sub>1</sub> nitrate to total PM<sub>2.5</sub> averaged for each winter (filled rectangles) at 35% relative humidity (RH)<sup>49</sup>, with error bars representing the standard error of the mean among days for each winter. PM<sub>2.5</sub> concentrations (measured at 35% RH) are averages for the 12 MEE sites in Beijing. Nitrate observations were made at the Institute of Atmospheric Physics, Chinese Academy of Sciences, in downtown Beijing (116.37° E, 39.97° N) with a high-resolution time-of-flight aerosol mass spectrometer (HR-ToF-AMS; Aerodyne Research) for the winters of 2014–2015, 2016–2017 and 2018–2019 and an aerosol chemical speciation monitor (ACSM; Aerodyne Research) for winter 2017–2018<sup>213</sup>. Nitrate is measured as PM<sub>1</sub> (particles with diameter less than 1 µm), and we assume that this accounts for the bulk of PM<sub>2.5</sub> nitrate. The dashed line in **a** indicates the 115 µg m<sup>-3</sup> threshold that separates lightly polluted and moderately polluted days in the Chinese Ambient Air Quality Index Classification Scheme, and is used here to diagnose winter haze conditions. Inset numbers are total PM<sub>2.5</sub> and PM<sub>1</sub> nitrate concentrations averaged over the observation period in each winter.

Winter mean PM<sub>2.5</sub> decreased over the five-year period, and winter haze events (daily PM<sub>2.5</sub> > 115 µg m<sup>-3</sup>) decreased greatly in frequency. However, the winter mean nitrate did not decrease and actually increased on haze days, with 24-h values in 2018–2019 as high as 58 µg m<sup>-3</sup>. The nitrate contribution to total PM<sub>2.5</sub> doubled from 12% in winter 2014–2015 to 25% in winter 2018–2019. Persistently high PM<sub>2.5</sub> nitrate is also observed at Handan, another site in the North China Plain (Supplementary Fig. 1). Winter 2017–2018 had low values for all PM<sub>2.5</sub> components, reflecting favourable meteorology as well as particularly aggressive restrictions on coal use that winter<sup>3,10</sup>. Also shown in Fig. 2 are the corresponding GEOS-Chem model results. The model generally reproduces the day-to-day variations of total PM<sub>2.5</sub> and its nitrate component, including the nitrate peaks on haze days and the increasing nitrate fraction from 2013–2014 to 2018–2019.

Trends of other major PM<sub>2.5</sub> components and for other sites across China between 2013 and 2018 are shown in Supplementary Fig. 2. All sites show a similarly small trend in nitrate, resulting in an increasing relative contribution of nitrate to total PM<sub>2.5</sub> mass. Nitrate in summer shows a much steeper decrease than in winter (Extended Data Fig. 4 and Supplementary Fig. 3)<sup>5,40</sup>. Superimposed on the long-term trends is large month-to-month variability driven by meteorology, which the model also captures.

### Meteorological drivers of nitrate trends

PM<sub>2.5</sub> nitrate is highly sensitive to meteorological variables, not only transport and precipitation, but also temperature and relative humidity (RH), affecting ammonium nitrate formation thermodynamics<sup>41</sup>. Figure 3a,b show the 2013–2017 nitrate trends over the North China Plain simulated by GEOS-Chem, with and without impacts from interannual variations in meteorology.

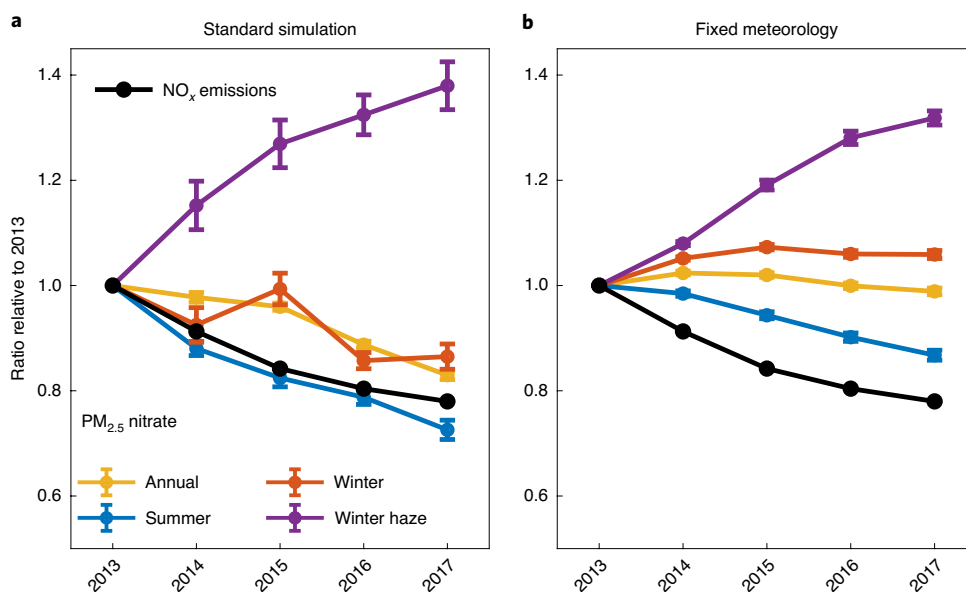
Annual mean nitrate decreases by 17% in the standard simulation, largely following the trend in winter when nitrate is highest. Remarkably, we find that this decrease is due to meteorological rather than to emission trends, because annual mean nitrate in the fixed-meteorology simulation shows no decrease, and nitrate in winter slightly increases, despite the 22% decrease of NO<sub>x</sub> emissions. Consistent with this result, model interpretation of the observed decreases of annual mean nitrate at North China Plain sites shows that they are driven by meteorology rather than a decrease in NO<sub>x</sub> emissions (Supplementary Fig. 4). The temperature increased and RH decreased from 2013 to 2017 over the North China Plain on an annual mean basis, and particularly in winter (Extended Data Fig. 5), and this would drive a decrease in nitrate. PM<sub>2.5</sub> nitrate increased by 30–40% from 2013 to 2017 under winter haze conditions in both the standard and fixed-meteorology simulations.

### Chemical drivers of nitrate trends

To diagnose the chemical drivers of the particulate nitrate trends in the model, we write a simple mass balance representation for the mean particulate nitrate concentration [NO<sub>3</sub><sup>-</sup>] in the boundary layer:

$$[\text{NO}_3^-] = P(\text{NO}_3^{\text{T}}) \left( \frac{1}{\tau_{\text{NO}_3^{\text{T}}, \text{dep}} + \tau_{\text{vent}}} \right) \frac{[\text{NO}_3^-]}{[\text{NO}_3^{\text{T}}]} \quad (1)$$

where  $P(\text{NO}_3^{\text{T}})$  is the rate of NO<sub>3</sub><sup>T</sup> production in the boundary layer,  $\tau_{\text{NO}_3^{\text{T}}, \text{dep}}$  is the lifetime of NO<sub>3</sub><sup>T</sup> against deposition, and  $\tau_{\text{vent}}$  is the timescale for ventilation, which we can estimate as a few days.  $P(\text{NO}_3^{\text{T}})$  is in turn given by



**Fig. 3 | 2013–2017 trends of  $\text{PM}_{2.5}$  nitrate concentrations in the North China Plain relative to 2013 values.** The results are from the GEOS-Chem model driven by 2013–2017 MEIC emissions. **a**, Standard simulation with year-by-year changes in meteorology. **b**, Results with 2017 meteorology applied to all years. Model values are sampled at the 63 MEE observation sites in the North China Plain and then averaged. Vertical bars are the standard error of the mean  $\text{PM}_{2.5}$  nitrate for the 63 MEE sites. Winter haze days are defined in the same way as in Fig. 2. The increasing trend of  $\text{PM}_{2.5}$  nitrate under winter haze conditions holds as the threshold increases from  $115 \mu\text{g m}^{-3}$  to  $150 \mu\text{g m}^{-3}$  (the threshold that separates moderately polluted and heavily polluted days). Here, we chose  $115 \mu\text{g m}^{-3}$  to ensure a sufficient sample size.  $\text{NO}_x$  emission trends from the MEIC inventory are also shown.

$$P(\text{NO}_3^{\text{T}}) = \frac{E_{\text{NO}_x}}{h} \frac{1}{1 + \frac{\tau_{\text{NO}_x, \text{chem}}}{\tau_{\text{vent}}}} \quad (2)$$

where  $E_{\text{NO}_x}$  is the  $\text{NO}_x$  emission flux,  $h$  is the boundary layer depth and  $\tau_{\text{NO}_x, \text{chem}}$  is the chemical lifetime of  $\text{NO}_x$  against oxidation to  $\text{NO}_3^{\text{T}}$ .  $\tau_{\text{NO}_3^{\text{T}}, \text{dep}}$  can be expressed as<sup>42</sup>

$$\tau_{\text{NO}_3^{\text{T}}, \text{dep}} = \frac{h [\text{NO}_3^{\text{T}}]}{F_{\text{NO}_3^{\text{T}}}} = \frac{h}{v_p \left( k + (1 - k) \frac{[\text{NO}_3^-]}{[\text{NO}_3^{\text{T}}]} \right)} \quad (3)$$

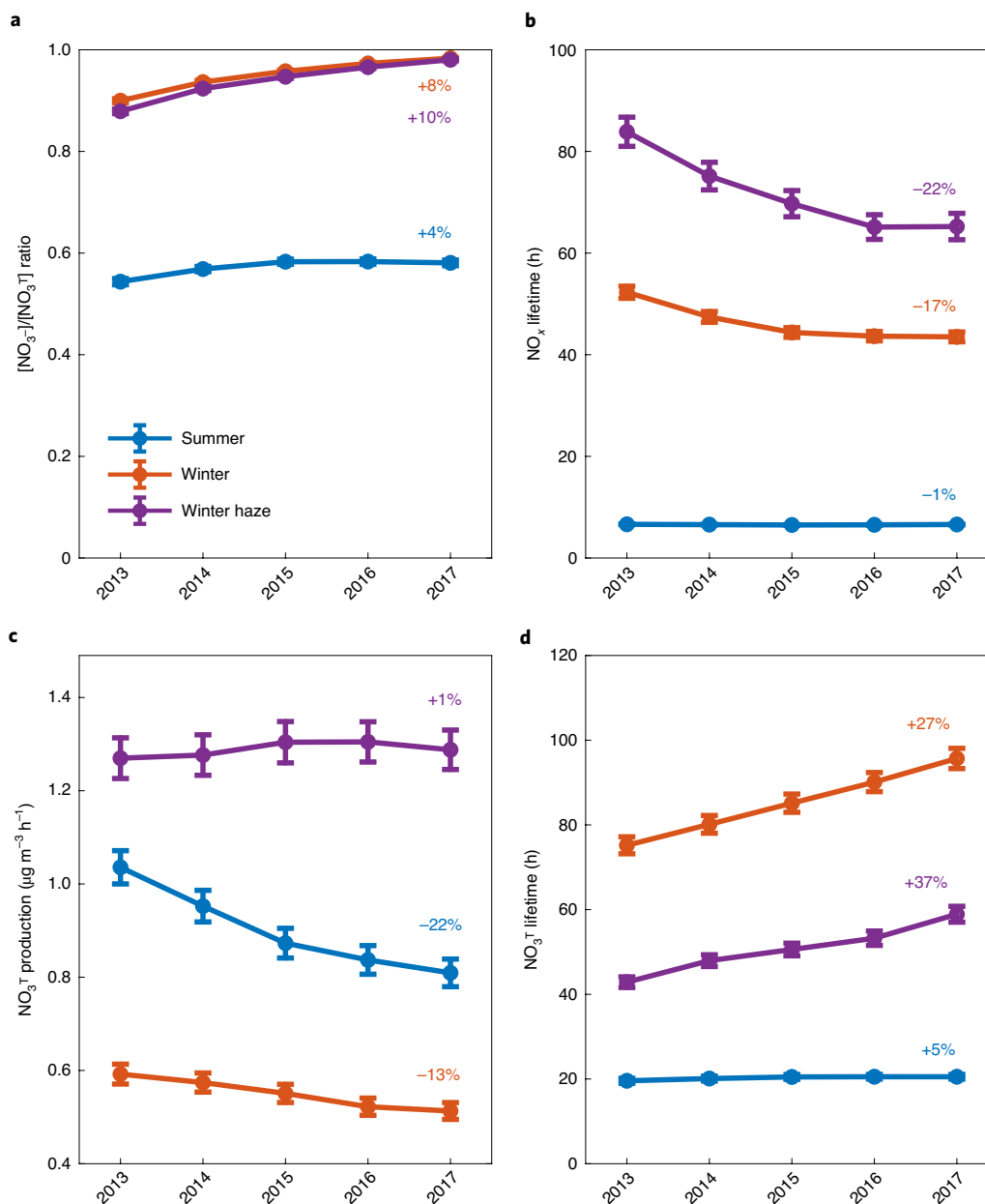
where  $F_{\text{NO}_3^{\text{T}}} = F_{\text{NO}_3^-} + F_{\text{HNO}_3}$  is the total (wet and dry) deposition flux of  $\text{NO}_3^{\text{T}}$ ,  $v_p = F_{\text{NO}_3^-} / [\text{NO}_3^-]$  is the total (wet and dry) deposition velocity for particulate nitrate in the boundary layer (neglecting scavenging above the boundary layer), and  $k$  is the ratio of the  $\text{HNO}_3$  and particulate nitrate deposition velocities.  $k$  ranges from about 5 to 15 for wet and dry deposition<sup>34,42,43</sup>, so  $\tau_{\text{NO}_3^{\text{T}}, \text{dep}}$  is highly sensitive to changes in the  $[\text{NO}_3^-]/[\text{NO}_3^{\text{T}}]$  ratio when this ratio exceeds 0.9. Accordingly, the sensitivity of  $[\text{NO}_3^-]$  in equation (1) to a change in the  $[\text{NO}_3^-]/[\text{NO}_3^{\text{T}}]$  ratio is greatly amplified by the sensitivity of  $\tau_{\text{NO}_3^{\text{T}}, \text{dep}}$  in equation (3) to this ratio, a theoretical result previously derived in ref.<sup>42</sup>. Although highly simplified, this provides a diagnostic framework for understanding how 2013–2017 trends in nitrate reflect trends in the driving variables, assuming no trend in meteorology (fixed  $h$  and  $\tau_{\text{vent}}$ ).

Figure 4a shows the 2013–2017 model trends of the particulate fraction of total nitrate ( $[\text{NO}_3^-]/[\text{NO}_3^{\text{T}}]$  molar ratio) in the North China Plain for summer mean, winter mean and winter haze conditions. The fraction increases with time because of the decreasing  $\text{SO}_2$  and  $\text{NO}_x$  emissions as  $\text{NH}_3$  emissions stay constant, but the change is no more than 10%. The  $[\text{NO}_3^-]/[\text{NO}_3^{\text{T}}]$  ratio in winter exceeds 0.9, consistent with observations<sup>13,21,22</sup>, because of high  $\text{NH}_3$  concentrations and low temperatures. The thermodynamic regime

of the sulfate–nitrate–ammonium (SNA) system can be diagnosed by the molar ratio  $R = [\text{NH}_3^{\text{T}}] / (2 \times [\text{SO}_4^{2-}] + [\text{NO}_3^{\text{T}}])$ , where  $\text{NH}_3^{\text{T}}$  denotes the sum of gas-phase ammonia and particulate ammonium ( $\text{NH}_3^{\text{T}} \equiv \text{NH}_3 + \text{NH}_4^+$ ).  $R > 1$  indicates ammonia in excess, while  $R < 1$  indicates nitrate in excess<sup>44</sup>. We plot in Extended Data Fig. 6 the model values of  $R$  as a function of total SNA concentrations for 2013–2017 winter conditions. The values closely reproduce the observed  $R$  values and their relationship with SNA concentrations<sup>44</sup>, showing consistent excess  $\text{NH}_3$  ( $R > 1$ ), and approaching a transition regime ( $R \approx 1$ ) under winter haze conditions when sulfate and nitrate concentrations are high. In summer, high temperatures support higher partial pressures of both  $\text{HNO}_3$  and  $\text{NH}_3$ , and ~40% of  $\text{NO}_3^{\text{T}}$  remains in the gas phase.

Figure 4b shows the 2013–2017 model trends of  $\text{NO}_x$  chemical lifetime against conversion to  $\text{NO}_3^{\text{T}}$  ( $\tau_{\text{NO}_x, \text{chem}}$ ) and Fig. 4c shows the trends of  $\text{NO}_3^{\text{T}}$  production ( $P(\text{NO}_3^{\text{T}})$ ), which reflect both the 22% decrease in  $\text{NO}_x$  emissions and the change in  $\text{NO}_x$  lifetime. From 2013 to 2017, the  $\text{NO}_x$  chemical lifetime remained nearly unchanged in summer, but decreased by 17% in winter on average and by 22% for winter haze days, consistent with the  $\text{NO}_2$  trends observed from satellite and their simulation with GEOS-Chem<sup>25</sup>. Accordingly,  $\text{NO}_3^{\text{T}}$  production decreased by 22% in summer (same as  $\text{NO}_x$  emissions), but only by 13% in winter and with no notable trend on winter haze days. The  $\text{NO}_x$  chemical lifetime in winter is 2–3 days, sufficiently long that changes in this lifetime would affect nitrate formation in the North China Plain through competition with ventilation. The decrease in  $\text{NO}_x$  lifetime over the 2013–2017 period could thus partly explain the lack of response of wintertime nitrate to  $\text{NO}_x$  emission controls, but it is insufficient to explain the increase of nitrate during winter haze conditions.

Figure 4d shows the 2013–2017 trends of  $\text{NO}_3^{\text{T}}$  lifetime against removal by deposition ( $\tau_{\text{NO}_3^{\text{T}}, \text{dep}}$ ). The  $\text{NO}_3^{\text{T}}$  lifetime increased by 27% in winter (on average) and by 37% on winter haze days, but by only 5% in summer. Because  $\text{HNO}_3$  deposits about 5–15 times faster than particulate nitrate<sup>34,42,43</sup>, one would expect deposition of  $\text{NO}_3^{\text{T}}$



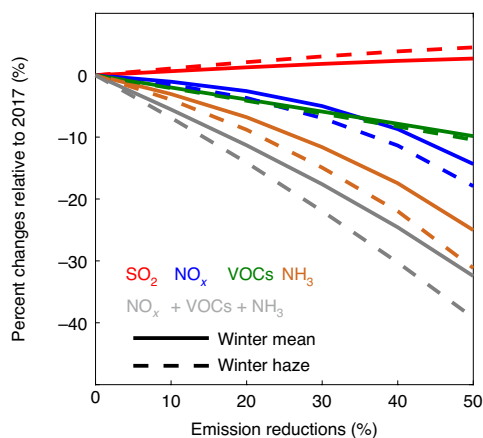
**Fig. 4 | Factors contributing to the 2013–2017 trends of PM<sub>2.5</sub> nitrate over the North China Plain.** **a**, Particulate fraction of total nitrate ( $[\text{NO}_3^-]/[\text{NO}_3^T]$  molar ratio). **b**,  $\text{NO}_x$  lifetime against conversion to  $\text{NO}_3^T$ . **c**,  $\text{NO}_3^T$  production rate. **d**,  $\text{NO}_3^T$  lifetime against deposition. Values are from the GEOS-Chem model simulation with repeating 2017 meteorology and are shown for summer, winter and winter haze conditions. Winter haze days are defined in the same way as in Fig. 2. Production rates and lifetimes are averages for the boundary layer with heights of 2,000 m in summer, 1,500 m in winter and 320 m for winter haze conditions. For the  $\text{NO}_x$  lifetime calculation, we define  $\text{NO}_x$  as  $\text{NO} + \text{NO}_2 + \text{NO}_3 + 2\text{N}_2\text{O}_5 + \text{HONO} + \text{HNO}_4 + \text{ClNO}_2$ . Error bars are the standard error of the mean over the North China Plain region. Inset numbers are percent changes from 2013 to 2017.

to be dominated by  $\text{HNO}_3$ , and that is indeed the case in summer. In winter, however, the  $[\text{NO}_3^-]/[\text{NO}_3^T]$  ratio is sufficiently large that particulate nitrate is an important contributor to  $\text{NO}_3^T$  deposition, and a small increase in  $[\text{NO}_3^-]/[\text{NO}_3^T]$  (Fig. 4a) can drive a large increase in  $\text{NO}_3^T$  lifetime, thus amplifying its impact on particulate nitrate (equation (3)). We thus find in our simulation that particulate nitrate is responsible for 40% of wintertime  $\text{NO}_3^T$  deposition in 2013 but 60% in 2017, and that this effect of the  $[\text{NO}_3^-]/[\text{NO}_3^T]$  ratio on  $\text{NO}_3^T$  deposition is the dominant factor explaining the increase of nitrate in winter haze. Our results for 2013–2017 relative trends in PM<sub>2.5</sub> nitrate,  $[\text{NO}_3^-]/[\text{NO}_3^T]$  ratio and  $[\text{NO}_3^T]$  lifetime are insensitive to the choice of wet deposition scheme (Extended Data Fig. 7).

### An emission control strategy to decrease PM<sub>2.5</sub> nitrate

Decreasing the nitrate component of PM<sub>2.5</sub> is an increasing priority for improving PM<sub>2.5</sub> air quality in China. An emission control strategy must focus on the wintertime, when both PM<sub>2.5</sub> and the nitrate contribution are highest. A recent model study<sup>9</sup> found that reduction of both VOC and  $\text{NO}_x$  emissions by 30% decreased PM<sub>2.5</sub> nitrate by only 8.6% over the North China Plain in winter. Another avenue suggested by our analysis is to control  $\text{NH}_3$  emissions to increase the small fraction of  $\text{NO}_3^T$  present as  $\text{HNO}_3$  and hence drive faster  $\text{NO}_3^T$  deposition<sup>42</sup>.

Figure 5 shows the simulated responses of PM<sub>2.5</sub> nitrate in the North China Plain to 10–50% reductions of  $\text{SO}_2$ ,  $\text{NO}_x$ , VOCs,  $\text{NH}_3$



**Fig. 5 | Percent changes of wintertime  $PM_{2.5}$  nitrate in response to emission reductions in the North China Plain relative to 2017.** Results are from GEOS-Chem simulations for the 2017 meteorological year including 10–50% individual emission reductions of  $SO_2$ ,  $NO_x$ , VOCs,  $NH_3$  and combined emission reductions of  $NO_x + VOCs + NH_3$ . Haze days are defined in the same way as in Fig. 2. The percent changes are for the mean wintertime or mean winter haze surface concentrations of  $PM_{2.5}$  nitrate averaged over the North China Plain.

and ( $NO_x + VOCs + NH_3$ ) emissions for average winter conditions and for winter haze days, relative to 2017 values. We find that  $NH_3$  is the most effective lever for  $PM_{2.5}$  nitrate control, particularly on winter haze days, consistent with the effect on  $NO_3^T$  lifetime. Reducing  $NH_3$  emissions by any increment is beneficial. Reducing  $NH_3$  emissions by 50% decreases  $PM_{2.5}$  nitrate by 25% on average and by 31% on haze days. At that point, some winter days transit to  $NH_3$ -limited conditions (Supplementary Fig. 5), but we find that faster  $NO_3^T$  deposition from decreasing  $[NO_3^-]/[NO_3^T]$  still accounts for 70% of the  $PM_{2.5}$  nitrate decrease.

Reducing  $NO_x$  emissions is far less effective because it drives a decrease in  $NO_x$  lifetime, which offsets the decrease in  $NO_3^T$  production (equation (2)). Reducing  $NO_x$  emissions by up to 20% has no net effect on  $PM_{2.5}$  nitrate, and even a 50% reduction has only a 14% benefit. Reducing VOC emissions (slowing down oxidant production) has only a weak effect: a 50% reduction decreases  $PM_{2.5}$  nitrate by only 10%, because HONO photolysis (rather than formaldehyde or ozone photolysis) is the dominant wintertime source of oxidants in the model, consistent with observations<sup>45</sup>. Reducing both VOC and  $NO_x$  emissions by 30% decreases  $PM_{2.5}$  nitrate by only 10%, consistent with ref. 9. Combining  $NO_x$ , VOCs and  $NH_3$  emission reductions provides limited benefit beyond  $NH_3$  reduction alone, because  $NH_3$  reduction causes  $NH_3$  to become more limiting, which counters the benefit of  $NO_x$  and VOCs reductions. Continued reduction of  $SO_2$  emissions increases nitrate by only a few percent because these emissions are already low and the  $[NO_3^-]/[NO_3^T]$  ratio is already near unity. In terms of total  $PM_{2.5}$ , reducing  $NH_3$  emissions by 50% decreases total  $PM_{2.5}$  by 13% in winter, 18% during winter haze days and 14% for the annual mean (Extended Data Fig. 8).

Previous model studies found that  $NH_3$  emission reductions led to particulate nitrate decrease, but attributed it simply to a shift of  $NO_3^T$  from the particle to the gas phase<sup>24,37,46</sup>. This was inconsistent with field observations showing consistently high  $[NO_3^-]/[NO_3^T]$  ratios<sup>13,21</sup>. Our work solves this conundrum by pointing to changes in the  $NO_3^T$  lifetime against deposition driven by small changes in the  $[NO_3^-]/[NO_3^T]$  ratio as the principal driver of the sensitivity of nitrate to  $NH_3$  emissions in winter. The dominant source of  $NH_3$  is from agriculture and could be controlled by limiting fertilizer application and better managing manure<sup>37</sup>. Fossil fuel combustion could

be a major contributor to  $NH_3$  emissions in Beijing<sup>17,18,20</sup>, and this would provide another avenue for emission control.

In summary, we have explained the weak response of  $PM_{2.5}$  nitrate to emission controls in China over the 2013–2017 period, and the increase of nitrate during winter haze pollution events in the North China Plain, through successful simulation with the GEOS-Chem model. We find that the dominant factor driving the observed nitrate trends is the increase in the lifetime of total nitrate (gas + particulate) against deposition as the particulate fraction of total nitrate approaches unity. From model sensitivity studies, we find that  $NH_3$  emission reduction is most effective at decreasing  $PM_{2.5}$  nitrate in winter, and that  $NO_x$  or VOC emission reductions are far less effective. There are a few sources of uncertainty in the model, for example in the assumption of bulk SNA thermodynamics<sup>47</sup> and in the land-use information driving dry deposition<sup>35</sup>, but they do not manifest themselves as systematic biases. Our results point to the need to better understand the sources of  $NH_3$  in urban China in winter as targets for emission controls.

### Online content

Any methods, additional references, Nature Research reporting summaries, source data, extended data, supplementary information, acknowledgements, peer review information; details of author contributions and competing interests; and statements of data and code availability are available at <https://doi.org/10.1038/s41561-021-00726-z>.

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## Methods

**GEOS-Chem simulations.** We used the GEOS-Chem model version 12.3.1 in a nested-grid simulation over East Asia ( $60^{\circ}$ – $150^{\circ}$  E,  $10^{\circ}$  S– $55^{\circ}$  N) with a horizontal resolution of  $0.5^{\circ} \times 0.625^{\circ}$ . The GEOS-Chem model simulates detailed ozone– $\text{NO}_x$ –VOC–aerosol–halogen chemistry<sup>49–52</sup> and is driven by meteorological data from NASA Modern-Era Retrospective Analysis for Research and Applications, Version 2 (MERRA-2). A number of previous studies have applied GEOS-Chem to simulations of  $\text{PM}_{2.5}$ ,  $\text{NO}_2$  and ozone air quality in China, showing consistency between observations and model results<sup>25,31–33,53</sup>.

Monthly anthropogenic emissions over China, including agricultural  $\text{NH}_3$ , are taken from MEIC for 2013–2017<sup>6</sup> and from the MIX inventory for 2010 over other Asian countries<sup>54</sup>. The simulation for winter 2018–2019 in Fig. 2 uses 2017 MEIC emissions with  $\text{SO}_2$  emissions reduced by 26% and primary organic carbon (OC) and black carbon (BC) emissions reduced by 13%, based on the observed decreases of  $\text{SO}_2$  and CO from 2017 to 2018 at the MEE sites. Fine anthropogenic dust emissions from combustion and industrial sources are derived from MEIC as the residual of anthropogenic primary emissions of  $\text{PM}_{2.5}$  after excluding primary organic aerosol (POA; here we use a uniform POA-to-primary-organic-carbon mass ratio of 1.7)<sup>55</sup>, BC and primary sulfate<sup>56</sup>. Natural emissions include  $\text{NO}_x$  from lightning<sup>57</sup> and soil<sup>58</sup>. Biomass burning emissions are taken from the Global Fire Emissions Database version 4 (GFED4)<sup>59</sup>.

$\text{PM}_{2.5}$  is simulated in GEOS-Chem as the sum of sulfate, nitrate, ammonium, organic aerosol (OA  $\equiv$  primary OA + secondary OA), BC, fine dust and fine sea salt components. Sulfate production is described in ref. <sup>60</sup>. Nitrate production is described in ref. <sup>25</sup>. The thermodynamic equilibrium of SNA particles with the gas phase is computed with ISORROPIA II<sup>60,61</sup> assuming an aqueous aerosol. We use a simple secondary organic aerosol formation scheme following ref. <sup>49</sup>. Natural dust aerosols are simulated as described by ref. <sup>62</sup>. Sea salt aerosol is simulated as described in ref. <sup>63</sup>.

Dry deposition of gases and particles follows a standard resistance-in-series scheme<sup>64</sup>. It includes a recent model update (from version 12.6.0) to improve the representation of  $\text{HNO}_3$  dry deposition at low temperatures<sup>26</sup>, but we found that this had a negligible influence on our results. Wet deposition of gases and particles includes in-cloud scavenging, below-cloud scavenging and scavenging in convective updrafts<sup>65–68</sup>. Here, we use an updated GEOS-Chem wet scavenging scheme for  $\text{HNO}_3$  and particulate nitrate deposition<sup>34</sup>, introduced in version 12.8.0, that features faster below-cloud scavenging of  $\text{HNO}_3$  limited by molecular diffusion to raindrops.

Extended Data Fig. 1 and Supplementary Fig. 6 compare nitrate wet deposition fluxes in the model to observations from the National Nitrogen Deposition Monitoring Network (NNDMN)<sup>65</sup>. The model reproduces the observed spatial and seasonal variations. The NNDMN also reports dry deposition fluxes by applying GEOS-Chem deposition velocities to surface measurements of  $\text{HNO}_3$  and  $\text{NO}_3^-$  concentrations, but the  $\text{HNO}_3$  concentrations (which usually drive total dry deposition) cannot be usefully compared to GEOS-Chem model values because they are highly sensitive to local surface type, atmospheric stability and measurement altitude<sup>43</sup>.

A number of GEOS-Chem simulations were carried out in this study: (1) a ‘standard simulation’ with emissions and meteorology changing year by year from 2013 to 2017; (2) a ‘fixed-meteorology simulation’ with emissions changing from 2013 to 2017 but with meteorology fixed at 2017; (3) ‘future emission control simulations’ with individual 10–50% emission reductions for  $\text{SO}_2$ ,  $\text{NO}_x$ , VOCs,  $\text{NH}_3$  and combined emission reductions for  $\text{NO}_x$  + VOCs +  $\text{NH}_3$  applied uniformly over China relative to the baseline simulation for 2017. The latter simulations (Fig. 5) were done at a horizontal resolution of  $4^{\circ} \times 5^{\circ}$ , but we found that this had no notable impact on the results.

## Data availability

Surface  $\text{PM}_{2.5}$  observations across China from the China Ministry of Ecology and Environment (MEE) national network can be downloaded from [quotsoft.net/air](http://quotsoft.net/air). The anthropogenic emission inventory is from [www.meicmodel.org](http://www.meicmodel.org). MERRA-2 reanalysis data are from [https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/data\\_access/](https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/data_access/). Information about the observed  $\text{PM}_{2.5}$  species concentrations used in this work are summarized in the Supplementary Table.  $\text{PM}_{2.5}$  species observation data are deposited at <https://doi.org/10.7910/DVN/VHFTLQ>. The National Nitrogen Deposition Monitoring Network (NNDMN) version 1.0 database is from ref. <sup>35</sup>. Source data are provided with this paper.

## Code availability

The GEOS-Chem model code version 12.3.1 is open source (<https://doi.org/10.5281/zenodo.2633278>). Code for calculations and data processing is available from the corresponding author upon request.

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**Author contributions**

S.Z., D.J.J. and H.L. designed research. S.Z. performed research. X.W., V.S., J.M.M., K.H.B., L.S., G.L. and F.Y. helped with model simulations. Z.L., T.W., Y.S., L.W., M.Q., J.T., K.G., H.X., T.Z. and Y.W. helped with data collection. X.W., V.S., K.L., S.S., Y.Z., H.C.L. and H.C. helped with results interpretation. Q.Z. provided the MEIC emission inventory. S.Z. and D.J.J. wrote the paper with input from all other authors.

**Competing interests**

The authors declare no competing interests.

**Additional information**

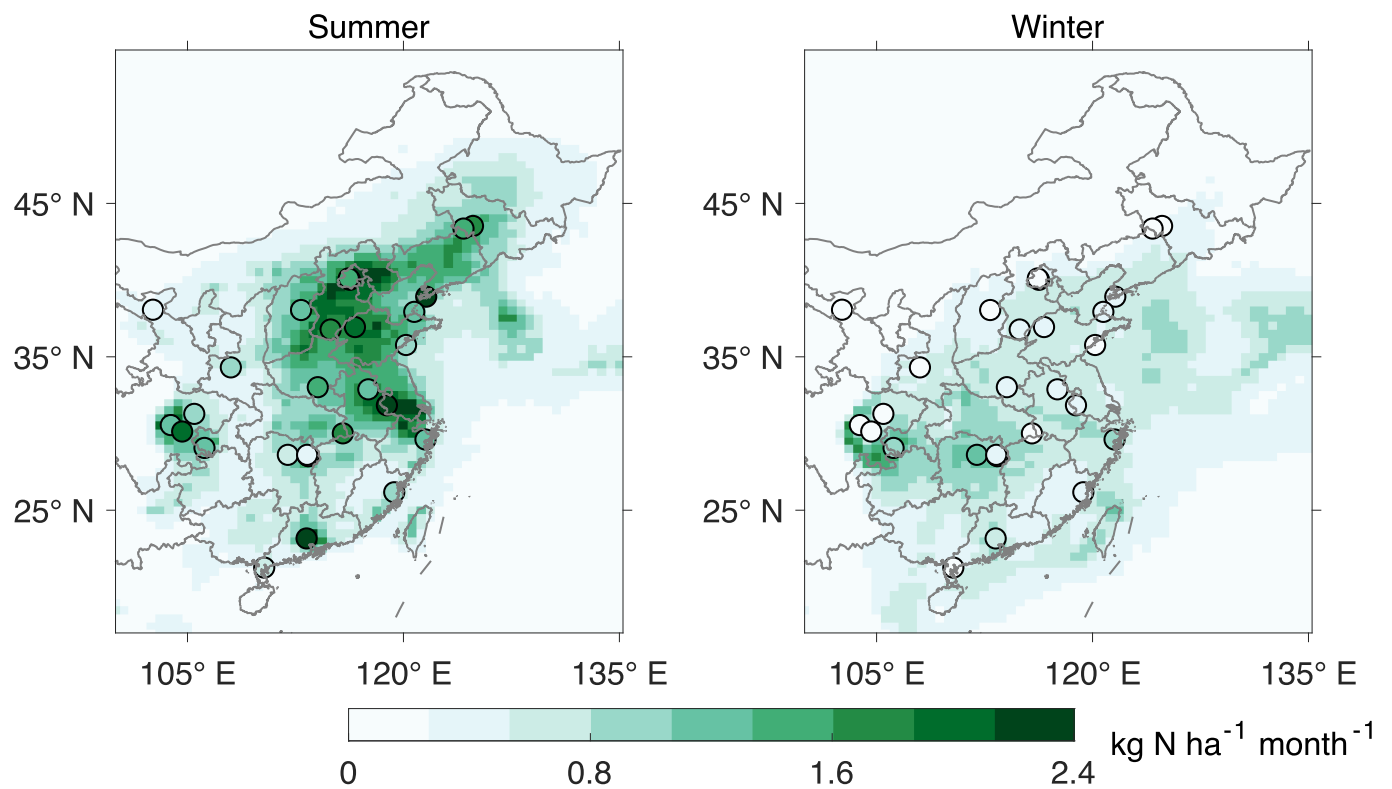
**Extended data** is available for this paper at <https://doi.org/10.1038/s41561-021-00726-z>.

**Supplementary information** The online version contains supplementary material available at <https://doi.org/10.1038/s41561-021-00726-z>.

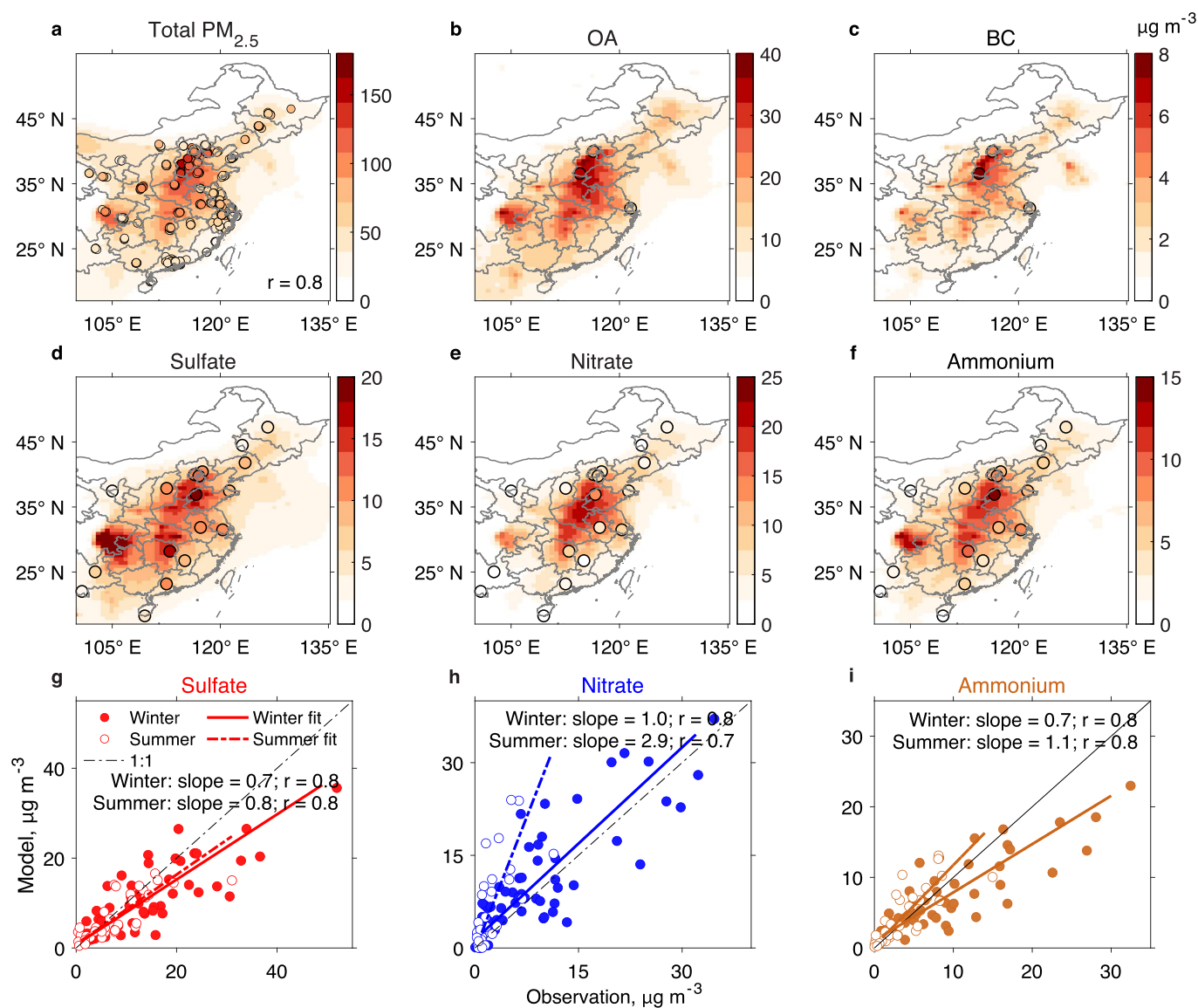
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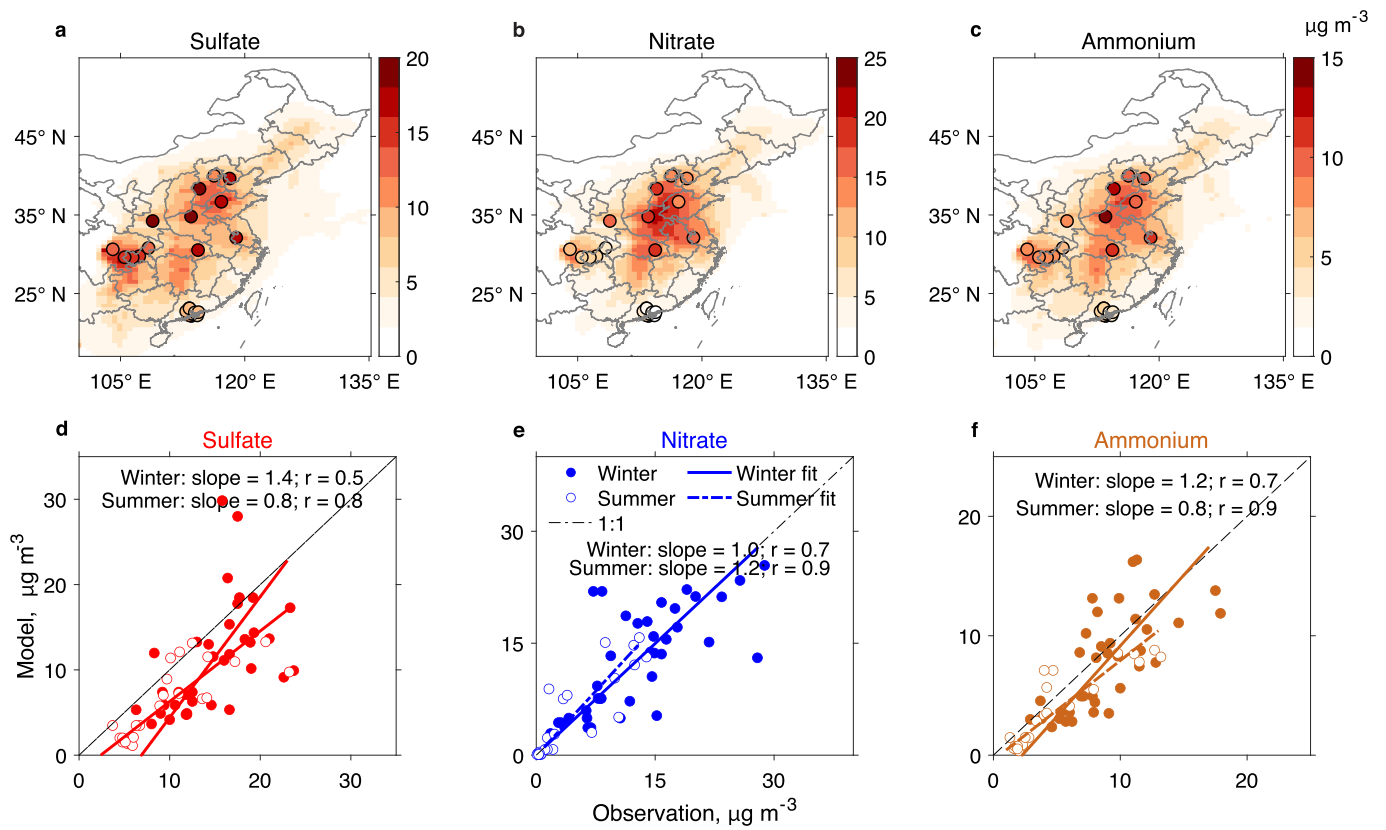
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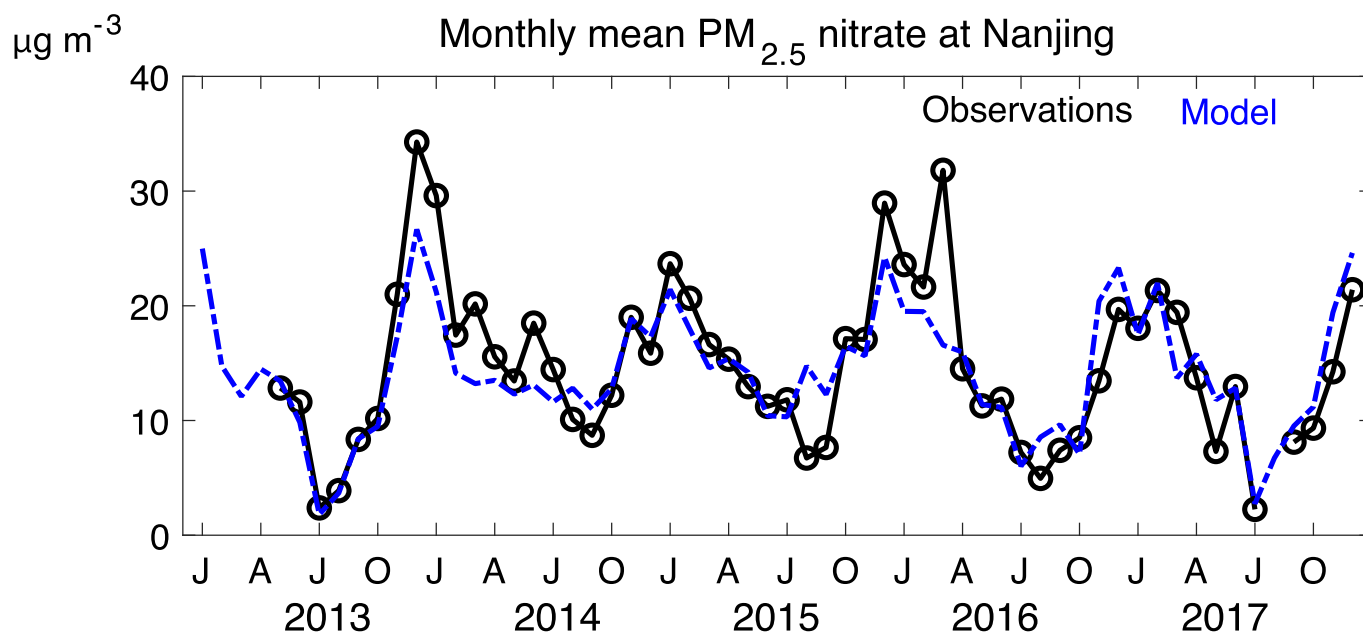
**Extended Data Fig. 1 | Spatial distribution of measured (filled circles) and modeled (gridded background) 3-year (2013-2015) averaged summer mean and winter mean nitrate wet deposition fluxes.** Measurements are from the National Nitrogen Deposition Monitoring Network (NNDMN) version 1.0 database<sup>35</sup>. Comprehensive global evaluation of the updated wet scavenging scheme can be found in refs. <sup>34,69</sup>.



**Extended Data Fig. 2 | Spatial and seasonal patterns of the mass concentrations of PM<sub>2.5</sub> and its major components (OA, BC, sulfate, nitrate, and ammonium) over China in 2013.** **a-f**, Spatial distributions of observed annual mean concentrations (circles) are compared to the GEOS-Chem model (background). **g-i**, Scatter plots of observed and modeled monthly mean sulfate, nitrate, and ammonium concentrations for winter (December-January-February; filled circles) and summer (June-July-August; open circles). Also shown in panels **g-i** are the 1:1 lines, the correlation coefficients ( $r$ ) between model and observations, and the corresponding reduced-major-axis regressions and slopes. PM<sub>2.5</sub> observations are from the China Ministry of Ecology and the Environment (MEE) national air quality monitoring network. OA and BC observations in Beijing, Handan, and Shanghai are from refs. <sup>70-72</sup>. Sulfate, nitrate, and ammonium observations are from the Campaign on Atmospheric Aerosol Research network of China (CARE-China)<sup>36,48</sup>.

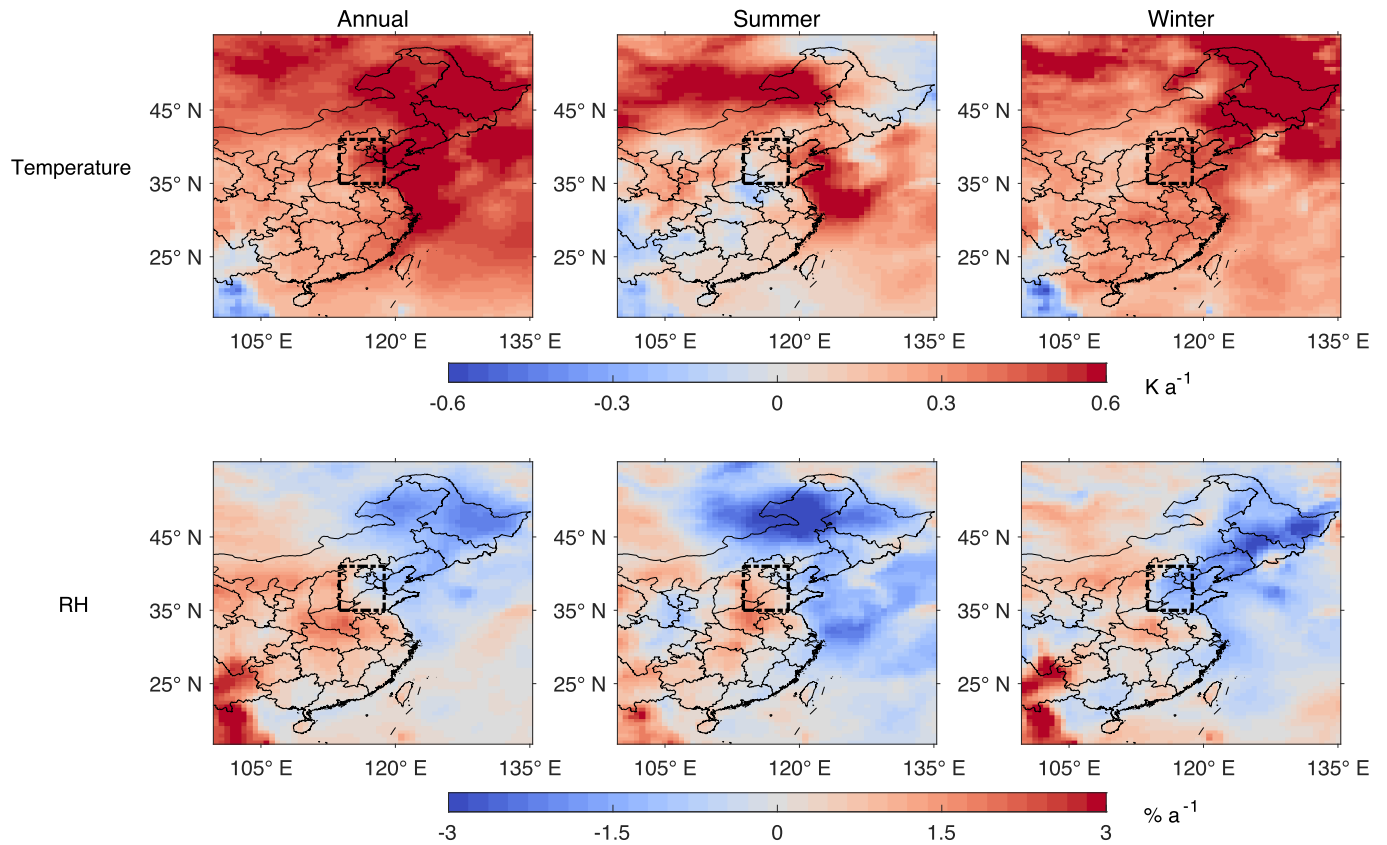


**Extended Data Fig. 3 |** Same as Extended Data Fig. 1 but for the year 2015 including January, February, July, and December. Observations are from ref. <sup>37</sup>. Here we only show sites that have both winter and summer observations, and summer observations for these sites are mostly for July.

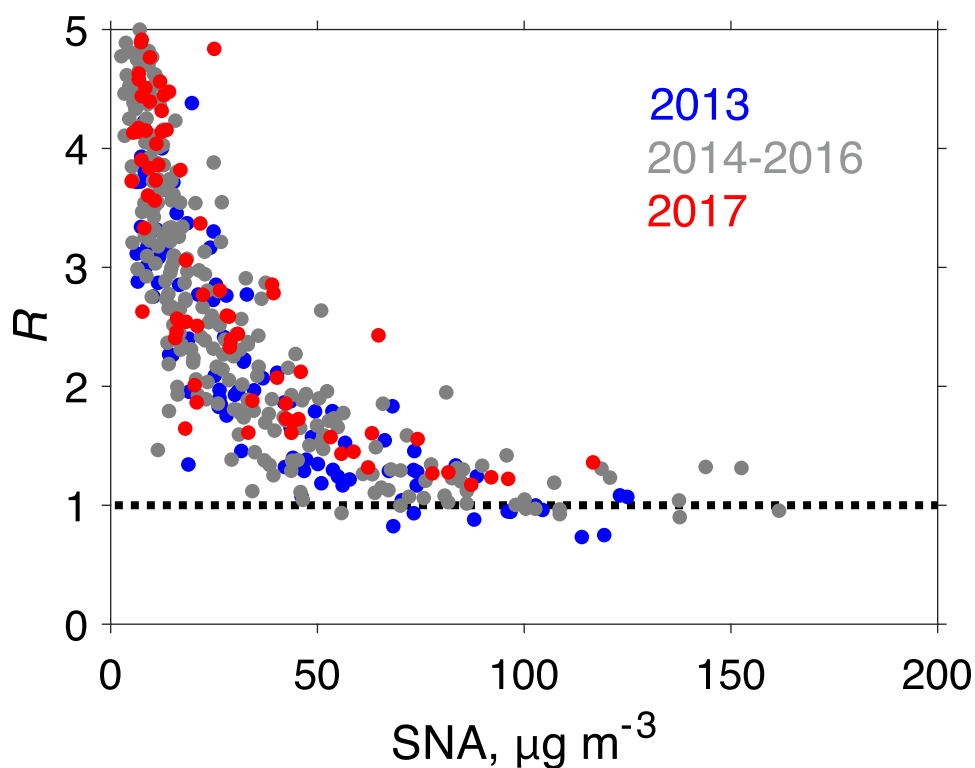


**Extended Data Fig. 4 |** Time series of monthly mean  $\text{PM}_{2.5}$  nitrate at Nanjing from 2013 to 2017. GEOS-Chem results (blue dotted lines) are compared to observations (black solid lines). Observations are from the Station for Observing Regional Processes of the Earth System (SORPES; 118.97° E, 32.1° N) in Nanjing, and are detected by the Monitor for AeRosols and GAses in Ambient air (MARGA; Metrohm, Switzerland)<sup>3,73</sup>. The abnormally low nitrate in summer 2013 is mainly due to meteorological influence (Supplementary Fig. 3).

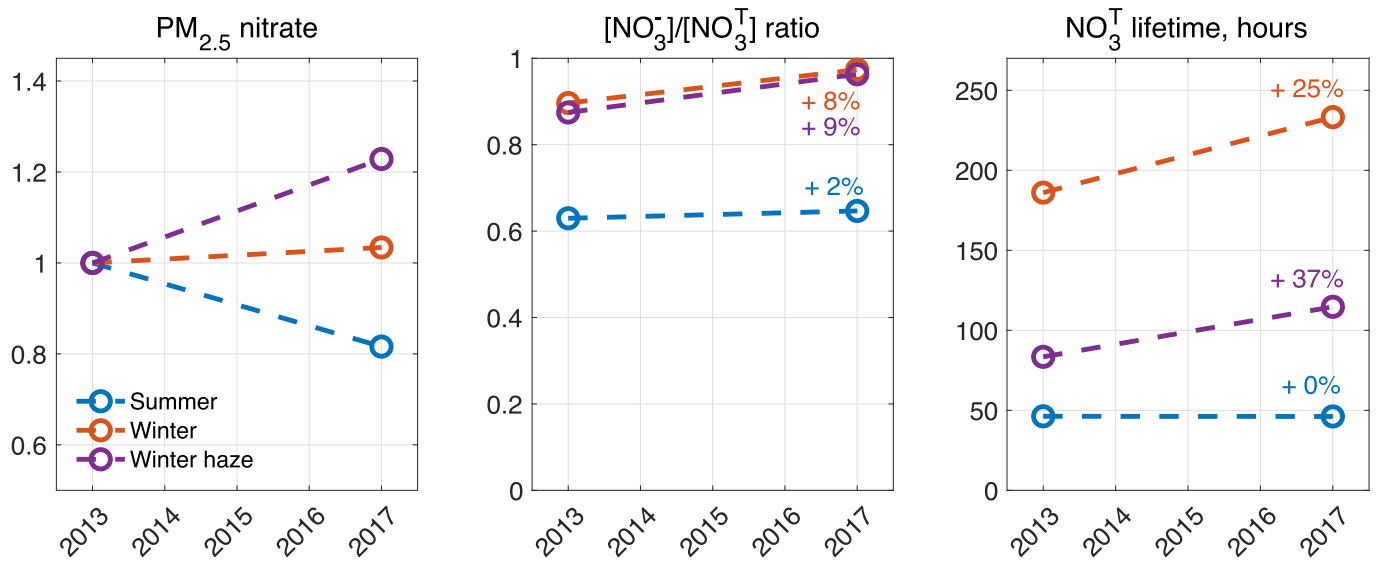
## Trends of temperature and RH, 2013-2017

**Extended Data Fig. 5 | Linear regression trends of temperature and RH from 2013 to 2017 for annual mean, summer, and winter conditions.**

Temperature and RH are from the MERRA-2 reanalysis data from the NASA Goddard Earth Sciences (GES) Data and Information Services Center ([https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/data\\_access/](https://gmao.gsfc.nasa.gov/reanalysis/MERRA-2/data_access/)). The dashed rectangles define the North China Plain region (113.75°-118.75° E, 35°-41° N).

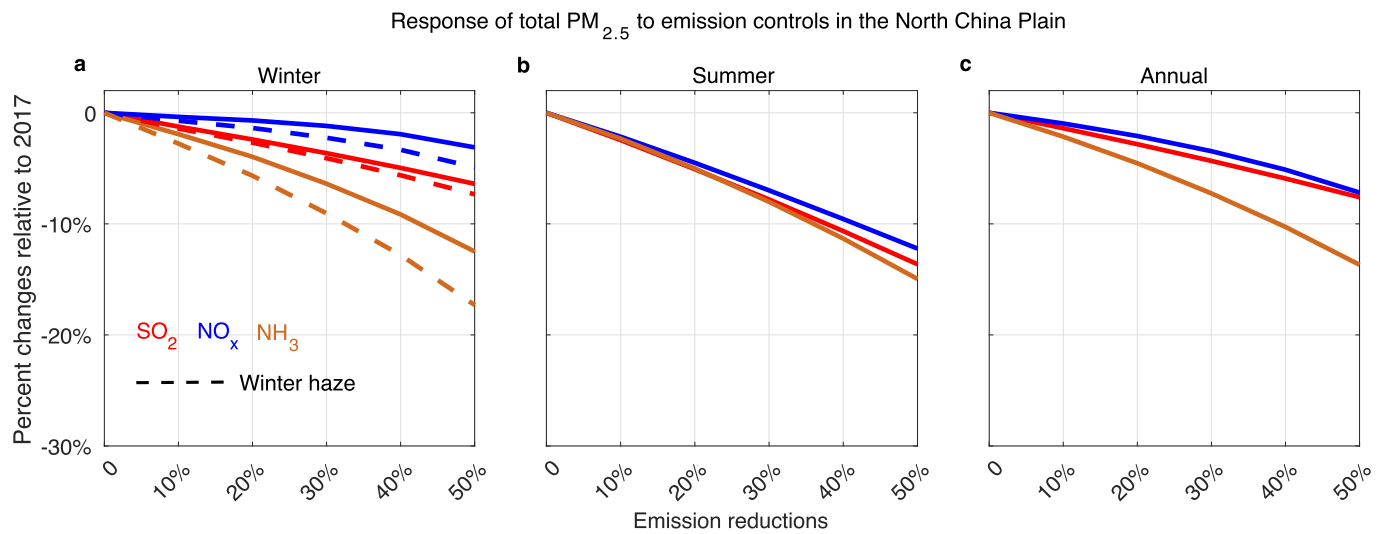


**Extended Data Fig. 6 | Thermodynamic regime for ammonium nitrate particulate formation in the North China Plain in winter.** The figure shows the molar ratio  $R = [\text{NH}_3^T] / (2 \times [\text{SO}_4^{2-}] + [\text{NO}_3^T])$  as a function of sulfate-nitrate-ammonium (SNA)  $\text{PM}_{2.5}$  concentrations in daily mean GEOS-Chem results for the North China Plain in winters 2013-2017. Formation of nitrate  $\text{PM}_{2.5}$  is nitrate-limited if  $R > 1$  (ammonia in excess) and ammonia-limited if  $R < 1$  (nitrate in excess). The black dashed line indicates  $R = 1$ . This figure can be compared to Fig. 4a from ref. <sup>44</sup> which showed the same plot for observations in Beijing in December 2015 and December 2016. Bisulfate ( $\text{HSO}_4^-$ ) in acid particles would modify the acid-base balance but we find from ISORROPIA II calculations that it accounts for less than 5% of total sulfate in the model, consistent with wintertime Beijing observations<sup>44</sup>.



**Extended Data Fig. 7 | 2013–2017 trends of PM<sub>2.5</sub> nitrate, the particulate fraction of total nitrate ([NO<sub>3</sub><sup>-</sup>]/[NO<sub>3</sub><sup>T</sup>] molar ratio), and NO<sub>3</sub><sup>T</sup> lifetime against deposition simulated by GEOS-Chem without implementation of the new wet deposition scheme in ref. <sup>34</sup>. Results are from GEOS-Chem driven by 2013 and 2017 MEIC emissions with 2017 meteorology applied to the two years.**





**Extended Data Fig. 8** | Similar to Fig. 5 in the main text but for percent changes of mean total  $PM_{2.5}$  in response to emission reductions averaged over the North China Plain relative to 2017.